



UMBRELLA DERBY & DERBYSHIRE
FINANCIAL STATEMENTS
31 MARCH 2019

Charity Number 1150203
Company Number 07904108

DKS Chartered Certified Accountants

2 Kingsdale Grove
Chellaston
Derby
DE73 5NX

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL STATEMENTS

31 MARCH 2019

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UMBRELLA DERBY & DERBYSHIRE

REFERENCE AND ADMINISTRATIVE DETAILS

The Board of Trustees / Directors

Annie Hall	Chair – resigned as chair December 2018
Tracy Harrison	Chair- appointed December 2018
Ruth Pownall	Vice Chair- appointed December 2018
Nigel Farrow	Secretary
John Russell	Treasurer
Janet Holland	
Sharon Insull	
Suzanne Parry-Jones	

Company Secretary Nigel Farrow

Chief Executive Ann Rowlands

Registered Office Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Independent Examiner David Smith FCCA
DKS Chartered Certified Accountants
2 Kingsdale Grove
Chellaston
Derby
DE73 5NX

Bankers Barclays Bank plc
Barclays Business Centre
Sir Frank Whittle Road
Derby
DE21 4RX

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES' REPORT
YEAR ENDED 31 MARCH 2019**

The directors who are also trustees have pleasure in presenting their report and unaudited financial statements of the charity for the year ended 31 March 2019.

Principal activities

The principal activity of the charity is to advance and support the relief of disabled and/or sick children and young adults and to promote research and awareness of the related courses of treatment of this sector. This is in accordance with the objects contained in the Memorandum and Articles of Association, the charity's governing document.

Fixed assets

Details of movements in fixed assets are shown in note 10 to the accounts

Directors The directors who served during the year are shown on page 1.

OBJECTIVES AND ACTIVITIES

Charitable objectives

To advance and support charities and charitable purposes and in particular but without prejudice to the generality of the foregoing: (1) The relief of disabled and/or sick children. (2) The provision of information to or for the benefit of disabled and/or sick children. (3) The provision (and assisting in the provision) of facilities, equipment and amenities for the treatment or welfare of disabled and/or sick children. (4) The relief in cases of financial need, hardship or distress of disabled or sick children and of their relatives. (5) The promotion of research into the causes and treatment of disabled and/or sick children and the publication of the results thereof. Umbrella is a registered charity supporting children and young people with disabilities and their families who live in Southern Derbyshire. The following summarises our services and achievements.

UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2019

ACHIEVEMENTS AND PERFORMANCE

CHAIR'S REPORT

I was going to contact you today anyway to say a BIG thank you to all staff who went to Ilkeston swimming last Saturday. S had a fantastic time - it is a long time since she had the confidence to go in the water so that is real progress for her. - parent of a young adult.

I've been getting some great feedback about C recently. It's great to hear he is getting on ok - parent of a young adult. keep going guys !

I have enjoyed being part of the journey with you so very much. I'm sure you get told all the time that the work you do is incredible, but it will always be worth reiterating. You have a wonderful team who work with some incredible young people. It has been a real privilege to get to know you all and I do hope we can stay in touch. Parent

This is my first annual report as Chair, and outlined above are examples of feedback about our work which I wanted to include in my report.

Importantly I would like to thank my predecessor Annie Hall for her dedication and professionalism over the last four years, and we welcome her decision to continue as a trustee. I would also like to thank our other trustees and my vice Chair Ruth Pownall for their support and leadership of governance et al.

Over recent years our direct funding, from local authorities has continued to fall, and we have undergone significant restructuring to adjust to lower funding without impacting on the quality or extent of the services that we offer. Thanks are due to our dedicated staff and volunteers who have worked hard to deliver this transformation. Between 2014-18 Umbrella has made small operating surpluses, however this year, after adjusting for a generous legacy of £94k, which has been "earmarked" for additional accommodation, we made a very small operating loss of £1.4k. This highlights the need to ensure even tighter control of our expenditure.

Whilst direct local authority funding has fallen, they are providing parents with "personalised" budgets to purchase services from a number of providers. Umbrella, as a highly regarded service provider, continues to benefit from this parental choice.

Children in Need funding has enabled us to continue a wide range of activities to our children with mild to moderate level of needs, which was formerly funded by the local authorities.

Funding for our Independent Support Project has now ceased. However we were delighted to be awarded £99k from "Global's Makes Some Noise" to fund new play opportunities for children and young people with mild/moderate disabilities for two years.

Finally, I would like to take the opportunity to thank staff and volunteers of Umbrella who show commitment, motivation and a great positive approach to their work. It is so rewarding to see the children and young people grow in confidence and have such adventures under their careful leadership.

Tracy Harrison
Chair of Trustees

UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2019

PUBLIC BENEFIT

Umbrella provides support to disabled children and their families within the Southern Derbyshire catchment area. Most of the support and activities provided are Government funded via Local Government and Health.

The services and activities provided are open to all disabled children and their families, subject to availability, funding and funder conditions.

In practice, due to the wide range of disabilities supported, some activities are more appropriate for some children than others. Our Care Quality Commission (CQC) allows Umbrella to support children and young adults with complex needs in their own homes.

Our services rely extensively on our team of Volunteers. The Volunteer service plays an important part in the personal development of the, mainly, young adults who form our volunteer pool.

Umbrella Derby & Derbyshire Limited continually strives to extend its services and activities. These new activities are initially funded from public or corporate donations, and our own reserves. As the new services become established we progressively seek to obtain mainstream funding contracts to continue the service.

FINANCIAL REVIEW

The accounts summary for 2018-19 shows a surplus of £93k on a turnover of £869k.

However, in April 2018 we received a substantial legacy of £94k, which has been set aside to provide additional premises to accommodate an increasing requirement for our activities.

After adjusting for this legacy Umbrella made an operating loss of £1.4k on an operating turnover of £775k. This compares with an operating surplus of £27k on a turnover of £738k for 2017-18.

Whilst this small shortfall should be taken in the context of comfortable surpluses in recent years, it is indicative of the fact that direct funding has failed to match the increasing costs of providing the high quality services that our reputation is built on.

Expenditure is dominated by Employment costs of £624k, which at 80% of total spend is a slightly smaller share of total spend.

The staff redundancy provision has increased from £58k to £69k due to more staff crossing the two year qualification period.

Historically, Umbrella was primarily formed to deliver family support services, and received direct funding to cover these services and infrastructure costs. Progressively new children's services were introduced, and the funding shifted towards paying for these services, consequently some of the essential "core" services no longer receive any direct funding, and the distinction between "restricted" and "un-restricted" projects is increasingly blurred. For example, our Volunteer Service was originally a fully funded, restricted, project. It no longer receives any direct external funding and is now classified as an "unrestricted" project.

UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2019

Financial Review Continued

Capital expenditure of £38k, included a further £13K Capitalisation of the new parking area. This project is now complete. The land and buildings now have a written down value of £134k. (Current value of the property is estimated to be c;£285k).

Reserves policy

The company's reserves policy is to hold 3 months expenditure in general reserves. This currently equates to about £195k. General reserves at 31 March 2019 are £259k which equates to approximately 4 months expenditure.

At 31 March 2019 cash balances, excluding the £94k legacy, were £155k plus £65k of invoiced debtors. This is a slight reduction since March 2018.

PLANS FOR FUTURE PERIODS

The Future Challenge

Umbrella has again demonstrated that its motivated, loyal and experienced team has risen to the challenge of delivering a high quality services commissioned by our Local Authorities and other funders.

Whilst there will always remain a degree of uncertainty regarding future funding, particularly from cash strapped Local Authorities, this year has again shown that Umbrella has the resilience and motivation to survive during a difficult economic climate.

Changes to our governance, have placed a greater emphasis on the board and management to identify and implement business strategies to identify funding opportunities, maximise our income and match our resources to deliver a comprehensive range of high quality services to our customers.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2019**

STRUCTURE, GOVERNANCE AND MANAGEMENT

The charity was initially established on 26 April 1988 and registered as a charity on 1 December 1988, registered charity number 700884. Since April 2013, it has been operating as a Company Limited by Guarantee (Company no 07904108, Charity no 1150203) and operates from the registered office address which is Umbrella House, 64 Birdcage Walk, Derby, DE22 4LD. The charity is governed by its constitution as amended on 12 June 1997. There have been no further amendments since that date.

Policies and procedures for induction and training of trustees

The induction and training pack for employees has now been updated and will form the model for an induction and training programme for directors/trustees.

Organisational structure

The charity is managed by the board of directors/trustees. The board of directors has given limited powers to the Chief Executive, who reports formally to the board through board meetings.

Risk mitigation policy

Where appropriate, systems or procedures are established to mitigate the risks the charity faces. The recent development of a business plan has helped with the identification of external and internal risks. The significant external risks to funding are an ongoing issue that is continually monitored with also a view to diversification of funding sources and activities. To this end the organisation has committed itself to the incorporation of the charity in order to develop a professional operation. Internal control risks are minimised by the implementation of procedures for authorisation of all transactions and projects. Procedures are in place to ensure compliance with all statutory obligations eg Health and Safety, DBS checks, etc; for staff, volunteers, clients and visitors to the charity where applicable. Precautionary insurance is also in place to negate any valid or spurious claims that may arise against the charity.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2019**

STRUCTURE, GOVERNANCE AND MANAGEMENT – Continued

Related parties

Umbrella has no related parties that make a significant impact upon the policies adopted by the organisation.

Pay Policy for Key Management Personnel

The Trustees of Umbrella Derby & Derbyshire set the pay structure of the senior management team via a review undertaken by a Remuneration Panel comprising of three members of the Board.

Independent Examiner

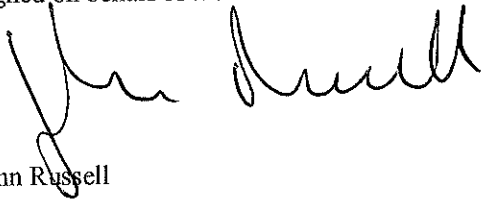
David Smith FCCA, of DKS Chartered Certified Accountants offers himself for reappointment at the AGM.

Small Company Provisions

This report has been prepared in accordance with the special provisions for small companies under part 15 of the companies Act 2006

Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Signed on behalf of the trustees



John Russell
Treasurer

Approved by the trustees on

14 July 2019.

UMBRELLA DERBY & DERBYSHIRE
INDEPENDENT EXAMINER'S REPORT TO THE TRUSTEES
YEAR ENDED 31 MARCH 2019

Independent examiner's report to the Trustees of Umbrella Derby & Derbyshire

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 March 2019, which are set out on pages 9 to 27.

Responsibilities and basis of report

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the directions given by the Charity Commission under section 145(5) (b) of the 2011 Act.

Independent examiner's statement

Since the Company's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the 2011 Act. I confirm that I am qualified to undertake the examination because I am a member of the Association of Chartered Certified Accountants, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

David Smith FCCA

DKS Chartered Certified Accountants
2 Kingsdale Grove
Chellaston
Derby
DE73 5NX
Date:

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF FINANCIAL ACTIVITIES
(INCORPORATING INCOME & EXPENDITURE ACCOUNT)
YEAR ENDED 31 MARCH 2019

	Note	Unrestricted Funds £	Restricted Funds £	Total Funds 2019 £	Total Funds 2018 £
INCOME					
Donations and Legacies	2	138,242	519	138,761	34,818
Charitable Activities	3	214,595	498,812	713,407	687,940
Other trading Activities	4	15,724	-	15,724	15,262
Investment Income	5	1,594	-	1,594	494
Other income	6	-	-	-	-
TOTAL INCOME		<u>370,155</u>	<u>499,331</u>	<u>869,486</u>	<u>738,514</u>
EXPENDITURE					
Charitable Activities	7	<u>261,408</u>	<u>515,161</u>	<u>776,569</u>	<u>711,845</u>
TOTAL EXPENDITURE		<u>261,408</u>	<u>515,161</u>	<u>776,569</u>	<u>711,845</u>
NET (LOSSES) ON INVESTMENTS	11	<u>(276)</u>	<u>-</u>	<u>(276)</u>	<u>(153)</u>
NET INCOMING RESOURCES/NET INCOME BEFORE TRANSFERS		108,471	(15,830)	92,641	26,516
Transfers Between Funds	16	<u>(13,770)</u>	<u>13,770</u>	<u>-</u>	<u>-</u>
NET MOVEMENT IN FUNDS FOR THE YEAR		94,701	(2,060)	92,641	26,516
Total fund Balances at 1 April 2018		<u>326,839</u>	<u>16,609</u>	<u>343,448</u>	<u>39,228</u>
TOTAL FUND BALANCES AT 31 MARCH 2019		<u>421,540</u>	<u>14,549</u>	<u>436,089</u>	<u>343,448</u>

The notes on pages 13 to 27 form part of the financial statements

UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2019

	Note	2019		2018	
		£	£	£	£
Fixed Assets					
Tangible Assets	10		170,845		153,965
Current Assets					
Investments	11	7,416		7,692	
Debtors	12	64,660		83,158	
Cash at Bank and in Hand		<u>249,606</u>		<u>171,572</u>	
		321,682		262,422	
Creditors: amounts falling due within one year	13		<u>(24,475)</u>		<u>(30,901)</u>
Net Current Assets			297,207		231,521
Total assets less current liabilities			<u>468,052</u>		<u>385,486</u>
Creditors: Amounts falling due after one year	14		(31,963)		(42,038)
Net Assets			<u>436,089</u>		<u>343,448</u>
Funds					
Unrestricted					
Designated Funds	15				
Staff redundancy Provision			68,576		58,220
Legacy Fund			94,026		-
Other Charitable Funds					
General Reserves	15		258,938		268,619
Restricted	16		14,549		16,609
Total Charity Funds	17		<u>436,089</u>		<u>343,448</u>

The notes on pages 13 to 27 form part of the financial statements

**UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2019**

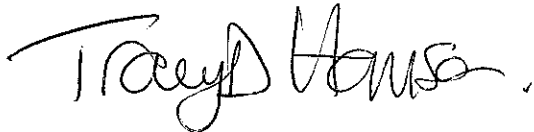
For the year ended 31 March 2019 the company was entitled to exemption under section 477 of the Companies Act 2006 relating to small companies.

Directors responsibilities:

- (i) The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006; and
- (ii) The directors acknowledge their responsibilities for complying with the requirements of the Companies Act with respect to the accounting records and the preparation of accounts.

These accounts have been prepared in accordance with the provisions applicable to small companies subject to the small companies regime and in accordance with FRS102 SORP.

These financial statements were approved and authorised for issue by the Trustees on _____ and are signed on their behalf by:



Tracy Harrison

Chair of Trustees

Registered Number 07904108

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF CASH FLOWS
AT 31 MARCH 2019

	Note	2019		2018	
		£	£	£	£
Cash flows from operating activities					
Cash generated from operations	22		121,380		43,644
Investing activities					
Purchase of tangible fixed assets		(37,685)		(21,598)	
Interest received		1,594		494	
Net cash provided by investing activities			<u>(36,091)</u>		<u>(21,104)</u>
Net increase in cash and cash equivalents			85,289		22,540
Cash and cash equivalents at beginning of year			<u>132,359</u>		<u>109,819</u>
Cash and cash equivalents at end of year			<u>217,648</u>		<u>132,359</u>
Cash at bank and in hand			249,606		171,572
Bank loan			<u>(31,958)</u>		<u>(39,213)</u>
Net cash and cash equivalents			<u>217,648</u>		<u>132,359</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

1. Accounting policies

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

a. Basis of preparation

Basis of accounting

These accounts have been prepared under the historical cost convention with items recognised at cost or transaction value unless otherwise stated in the relevant note(s) to these accounts.

The accounts have been prepared in accordance with:

- the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 (as updated through Bulletin 1 published on 2 February 2016)
- and with the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102)
- and with the Charities Act 2011.
The charity constitutes a public benefit entity as defined by FRS 102.

The accounts are prepared in sterling, which is the functional currency of the company. Monetary amounts in these financial statements are rounded to the nearest £. These accounts for the year ended 31 March 2017 are the first accounts of Umbrella Derby & Derbyshire prepared in accordance with FRS 102, The Financial Reporting Standard applicable in the UK and Republic of Ireland. The reported financial position and financial performance for the previous period are not effected by the transition to FRS 102.

b. Income recognition policies

Items of income are recognised and included in the accounts when all of the following criteria are met:

- the charity has entitlement to the funds;
- any performance conditions attached to the item(s) of income have been met or are fully within the control of the charity;
- there is sufficient certainty that receipt of the income is considered probable; and
- the amount can be measured reliably.

For legacies, entitlement is taken as the earlier of:

- the date on which the charity is aware that probate has been granted;
- the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

Income received in advance or provision of other specified services are deferred until the criteria for income recognition are met.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

1. Accounting policies continued

c. Donated services and facilities

Donated services or facilities are recognised as income when the charity has control over the item, any conditions associated with the donated item have been met, the receipt of economic benefit from the use by the charity of the item is probable and that economic benefit can be measured reliably. On receipt, donated services and facilities are recognised on the basis of the value of the gift to the charity which is the amount the charity would have been willing to pay to obtain services or facilities of equivalent benefit on the open market; a corresponding amount is then recognised in expenditure in the period of receipt.

d. Interest receivable

Interest on funds held on deposit is included when receivable and the amount can be measured reliably by the charity; this is normally upon notification of the interest paid or payable by the Bank.

e. Fund accounting

Unrestricted funds are available to spend on activities that further any of the purposes of the charity. Designated funds are unrestricted funds of the charity which the trustees have decided at their discretion to set aside for use for a specific purpose. Restricted funds are donations which the donor has specified are to be solely used for particular areas of the charity's work or for specific projects undertaken by the charity.

f. Expenditure and irrecoverable VAT

Expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party, it is probable that settlement will be required and the amount of the obligation can be measured reliably. Expenditure is classified under the following activity headings:

- Expenditure on charitable activities includes the costs undertaken to further the purposes of the charity and their associated support costs.
- Other expenditure represents those items not falling into any other heading.

Irrecoverable VAT is charged as a cost against the activity for which the expenditure was incurred.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

1. Accounting policies continued

g. Tangible fixed assets

Individual fixed assets costing £1,000 or more are capitalised at cost and are depreciated over their estimated useful economic lives on a straight line basis as follows:-

Asset Group	Capitalisation	Depreciation (Years)
Land & Buildings, including fees etc	At Original cost	25 years
Refurbishment, including professional fees	At Original cost	5 years
Equipment for new Building	At Original cost	3 years
IT equipment	At Original cost	3 years
Office Equipment	At Original cost	5 years

h. Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

i. Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

j. Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

k. Financial instruments

The charity only has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value.

l. Pension Costs

The charity operates a defined contribution pension scheme for employees. The assets are held separately from those of the charity. The annual contributions payable are charged to the statement of financial activities. These costs reflect the number of members in the scheme.

m. Investments

Investments are stated at market value at the balance sheet date. The SOFA includes those unrealised gains and losses arising from the revaluation of the investment portfolio at the balance sheet date.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

	Unrestricted Funds £	Restricted Funds £	Total Funds 2019 £	Total Funds 2018 £
2. Donations & Legacies				
Donations	44,216	519	44,735	34,818
Legacy	94,026	-	94,026	-
	<u>138,242</u>	<u>519</u>	<u>138,761</u>	<u>34,818</u>
Year Ended 31 March 2018	<u>33,508</u>	<u>1,310</u>		<u>34,818</u>
3. Charitable Activities				
Grants/ Income Receivable from				
Derby City Council	-	117,417	117,417	105,001
Derbyshire County Council	-	28,841	28,841	58,419
Morrisons	-	4,908	4,908	2,293
Lloyds Foundation	-	6,250	6,250	8,750
Earned Income	214,595	178,317	392,912	359,978
Big Lottery	-	105,999	105,999	100,150
Children in Need	-	57,080	57,080	53,349
	<u>214,595</u>	<u>498,812</u>	<u>713,407</u>	<u>687,940</u>
Year Ended 31 March 2018	<u>101,353</u>	<u>586,587</u>		<u>687,940</u>
4. Other Trading Activities				
Event / Club Income	1,777	-	1,777	7,932
Fundraising	13,616	-	13,616	6,708
Other	331	-	331	622
	<u>15,724</u>	<u>-</u>	<u>15,724</u>	<u>15,262</u>
Year Ended 31 March 2018	<u>15,262</u>	<u>-</u>		<u>15,262</u>
5. Investment Income				
Bank Interest receivable	1,337	-	1,337	210
Dividend Income	257	-	257	284
	<u>1,594</u>	<u>-</u>	<u>1,594</u>	<u>494</u>
Year Ended 31 March 2018	<u>494</u>	<u>-</u>		<u>494</u>
6. Other income				
Year Ended 31 March 2018	<u>-</u>	<u>-</u>		<u>-</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

7. EXPENDITURE

	Fund	CIN	Spot Funds	Enab	Global	Horizons	Family Support	Long Eaton	Sensory	Team	Total		Un restricted	Total	2017-18
											Restricted	Un restricted			
Employment costs	1,270	38,365	47,738	9,354	-	1,638	81,024	1,310	-	175,061	355,760	268,403	624,163	580,147	
Staff Travel	17	338	1,680	58	112	67	3,622	55	-	5,142	11,091	6,947	18,038	19,649	
Training Costs	509	-	-	-	-	-	475	-	-	15	984	80	6,350	2,648	
Volunteer Expenses	-	100	-	-	-	-	57	-	-	172	172	80	252	351	
Project catering	-	3,362	339	-	-	-	736	320	-	3,211	7,968	2,357	10,325	10,923	
Venue hire	-	818	-	-	-	-	3,213	-	-	250	4,281	282	4,563	2,528	
User Travel	-	1,551	124	14	18	-	225	-	-	3,429	5,361	1,102	6,463	5,353	
Misc Project costs	-	4,314	384	-	35	-	2,086	65	25	3,123	10,032	6,385	16,417	16,697	
Printing, Postage and Stationery	-	-	-	-	-	-	-	-	-	-	-	5,951	5,951	4,321	
Phone Costs	-	-	-	-	-	-	-	-	-	-	-	8,024	8,024	7,873	
Computer + IT Costs	-	-	-	-	140	-	125	-	-	-	265	7,364	7,629	9,866	
Photocopier cost	-	-	-	-	-	-	-	-	-	-	-	6,105	6,105	5,984	
Advertising/Promotional	-	-	-	-	134	-	864	-	-	-	998	(105)	893	2,519	
Insurances	-	-	-	-	-	-	-	-	-	-	-	5,540	5,540	5,297	
Legal + Professional	-	-	-	4,800	-	-	-	-	-	-	4,800	2,468	7,268	2,260	
Accountancy	-	-	-	-	-	-	-	-	-	-	-	750	750	750	
Subscriptions	-	50	-	-	-	-	-	-	-	-	50	130	180	278	
Entertain + Refreshment	454	504	18	-	-	-	40	(4)	-	54	1,066	2,043	3,109	1,104	
DBS Checks	-	-	-	-	-	-	-	-	-	-	-	795	795	810	
Medical Provisions	-	-	-	-	-	-	-	-	-	-	-	-	-	343	
Mortgage + Interest Payable	-	-	-	-	-	-	-	-	-	-	-	1,980	1,980	2,283	
Office/General Administrative	19	7	-	-	-	-	36	-	-	4	66	1,286	1,352	248	
Expenses	-	426	-	-	-	-	270	-	-	-	696	2,103	2,799	1,644	
Promotional Costs	187	-	-	-	-	-	-	-	-	-	187	523	710	1,408	
Rent or Rates	-	-	-	-	-	-	-	-	1,406	-	1,406	5,443	6,849	2,672	
Repair and maintenance	-	-	-	-	-	-	-	-	-	-	-	1,897	1,897	1,247	
Cleaning	-	-	-	-	-	-	-	-	-	-	-	1,813	1,813	2,096	
Electricity	-	-	-	-	-	-	-	-	-	-	-	1,282	1,282	1,250	
Gas	-	-	-	-	-	-	-	-	-	-	-	764	764	689	
Water	-	-	-	-	-	-	-	-	-	24	24	498	522	83	
Bad debts	-	-	-	-	-	-	-	-	-	-	-	20,805	20,805	16,882	
Total Depreciation	-	-	-	-	-	-	-	-	-	-	-	423	2,696	1,638	
Expensed equipment	-	-	-	-	-	-	-	-	423	-	423	2,273	2,696	1,638	
Bank charges	-	-	-	-	-	-	-	-	-	-	-	285	285	4	
Total resources Expended	2,456	49,835	50,283	14,226	459	1,705	92,773	1,746	1,854	190,313	405,630	370,939	776,569	711,845	
Apportnment of Core Costs	1	17,817	17,838	774	-	632	2,349	642	7,346	62,132	109,531	(109,531)	-	-	
TOTAL Expenditure	2,457	67,652	68,121	15,000	459	2,337	95,122	2,388	9,200	252,445	515,161	261,408	776,569	711,845	

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

	2018-19	2017-18
	£	£
8. Aggregate Payroll costs were:-		
Wages & Salaries	574,754	537,798
Social security costs	28,585	27,708
Pension costs	20,824	14,641
	624,163	580,147
	624,163	580,147

No employees earned more than £60,000 in the year.
None of the trustees received remuneration during the year.
Trustee travel expenses during the year totalled £nil (2018: £nil).

The key management personnel of the charity, comprise the Trustees, the Chief Executive Officer and Finance Officer.

The total employee benefits of the key management personnel of the charity was £48,882 (2018: £43,967).

Particulars of employees

The average head count of staff employed by the charity during the financial year amounted to

	2018-19	2017-18
Management Staff	3	3
Administrative Staff	5	5
Charitable staff – Contracted/holidays (Activity Support Worker)	43	43
Charitable staff – (Co-ordinators and Family Support)	8	-
	59	51
	59	51

Only 6 (2018: 7) of the above are full time

The Average Full time equivalent is as follows:-

Management staff	2.5	2.56
Administrative staff	2.34	2.02
Charitable staff – Contracted/holidays (Activity Support Worker)	14.30	17.27
Charitable staff – (Co-ordinators and Family Support)	6	-
	25.14	21.85
	25.14	21.85

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

9. Operating Surplus

The Operating Surplus is stated after charging

	2018-19	2017-18
	£	£
Depreciation	20,805	16,882
Independent examiner's remuneration (incl VAT)	750	750
	21,555	17,632

10. Tangible Fixed Assets

	Land & Buildings £	Building Refurbish- -ment £	Equipment £	IT £	Office Equipment & Furniture £	Total £
Cost						
At 1 April 2018	180,531	94,227	19,736	35,612	7,873	337,979
Additions	11,699	7,300	14,961	2,909	816	37,685
Disposals	-	-	(279)	(1,907)	(862)	(3,048)
At 31 March 2019	192,230	101,527	34,418	36,614	7,827	372,616
Depreciation						
At 1 April 2018	(49,003)	(93,179)	(17,520)	(19,069)	(5,243)	(184,014)
Charge for year	(9,337)	(514)	(2,191)	(7,955)	(808)	(20,805)
Eliminated on disposals	-	-	279	1,907	862	3,048
At 31 March 2019	(58,340)	(93,693)	(19,432)	(25,117)	(5,189)	(201,771)
Net book value						
At 31 March 2019	133,890	7,834	14,986	11,497	2,638	170,845
<i>% of Original cost</i>	70%	8%	44%	31%	34%	46%
At 31 March 2018	131,528	1,048	2,216	16,543	2,630	153,965
<i>% of Original cost</i>	73%	1%	11%	46%	33%	46%

11. Investments

	2018-19	2017-18
	£	£
HSBC Shares	7,692	7,845
Unrealised (loss) on shares	(276)	(153)
	7,416	7,692

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

12. Debtors

	2018-9	2017-8
	£	£
Trade Debtors	22,767	55,566
Prepayments and Accrued Income	41,893	27,592
	<u>64,660</u>	<u>83,158</u>

13. Creditors: Amounts falling due within one year

	2018-9	2017-8
	£	£
Trade Creditors	3,459	3,269
Accruals and deferred income	13,374	20,353
Flexible commercial Mortgage	7,642	7,279
	<u>24,475</u>	<u>30,901</u>

Deferred income at 31 March 2019 totals £13,105
(2018: £22,567)

14. Creditors: Amounts falling due after one year

	2018-9	2017-8
	£	£
Flexible Commercial mortgage	24,316	31,934
Aiming High collaborative Project	7,647	10,104
	<u>31,963</u>	<u>42,038</u>

The Barclays Flexible Commercial Mortgage is secured against the Birdcage Walk Property
The aggregate amount of repayments falling due after 5 years is £nil (2018: £2,818)

£ 7,647 of funds are being held by Umbrella Derby & Derbyshire in respect of the Aiming High Collaborative project. These are being used to reimburse expenditure by project partners.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

15. Unrestricted Funds: Movements in year

	Balance 1 April 2018	Incoming Resources	Resources expended	Transfers	Balance 31 March 2019
	£	£	£	£	£
Designated Funds					
Staff redundancy Contingency	58,220	-	-	10,356	68,576
Legacy fund designated General Reserves	-	94,026	-	-	94,026
	268,619	276,129	(261,684)	(24,126)	258,938
	<u>326,839</u>	<u>370,155</u>	<u>(261,684)</u>	<u>(13,770)</u>	<u>421,540</u>

16. Restricted Funds: Movements in year

	Balance 1 April 2018	Incoming Resources	Resources expended	Transfers	Balance 31 March 2019
	£	£	£	£	£
Family Support	(6,553)	105,999	(95,122)	-	4,324
Children in Need	(9,657)	57,080	(67,652)	13,770	(6,459)
Global Make Noise	-	-	(439)	-	(439)
TEAM	19,200	248,905	(252,445)	-	15,660
Enabled Club	8,750	6,250	(15,000)	-	-
Horizons	292	2,708	(2,337)	-	663
Long Eaton Club	1,402	4,908	(2,388)	-	3,922
Collaboration Fund	(1)	2,457	(2,457)	-	(1)
Spot Purchase	3,176	61,824	(68,121)	-	(3,121)
Sensory	-	9,200	(9,200)	-	-
	<u>16,609</u>	<u>499,331</u>	<u>(515,161)</u>	<u>13,770</u>	<u>14,549</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

17. Analysis of Net assets between funds

	Tangible Fixed Assets	Net Assets/ (liabilities)	Total
	£	£	£
Restricted Funds			
Family Support	390	3,934	4,324
Children in Need	614	(7,073)	(6,459)
Global Make Noise	659	(1,098)	(439)
TEAM	-	15,660	15,660
Horizons	-	663	663
Long Eaton Club	-	3,922	3,922
Collaboration Fund	-	(1)	(1)
Spot Purchase	-	(3,121)	(3,121)
	1,663	12,886	14,549
Unrestricted Funds			
Designated Funds			
Staff redundancy provision	-	68,576	68,576
Legacy Fund	-	94,026	94,026
General reserves	169,182	89,756	258,938
	169,182	252,358	421,540
Total Funds	170,845	265,244	436,089

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

18. RESTRICTED FUNDS

Umbrella Derby & Derbyshire Limited continues to develop and expand its services. Inevitably, the scope, content and cost of some services have evolved and changed since the current funding contracts were originally secured. Whilst we continue to monitor individual contract expenditure against the agreed funding bases, it is important to recognise the current cost of each service, including full recovery of overheads, as a basis for future funding bids.

The following notes describe each service in the context of this transition phase. As the funding basis changes the split between restricted and unrestricted funds becomes increasingly arbitrary.

VOLUNTEER & FAMILY SUPPORT TEAM

Family Support

Family Support continues to be a vital service provided by Umbrella, supporting parent/carers of children and young people in Derby and South Derbyshire. The Single Point of Access (SPA) located at the Ronnie MacKeith Child Development Centre, Derby Royal hospital, is the gateway to all Umbrellas' services for both parent/carers and professionals.

The SPA is not only the access into Umbrella's services (five days a week, 9:30am until 3:30pm), it is the lifeline for parent/carers to receive advice, information and support. The team provide home visits and facilitate eight parent/carer support groups. These are invaluable offering emotional, practical assistance and peer to peer support.

The Family Support team also provide workshops/information sessions, family fun activities, carers events and Umbrella's highly successful Market Place event.

Volunteer service

Umbrella's Volunteer Service, has continued to go from strength to strength. A total of 3069 volunteer hours were delivered for the 2018-2019 period. This was made possible by 60 fantastic volunteers as well as local companies offering their time and support. These companies include: The White Swan, Beatty Belfour, Intu, Rolls Royce, Toyota, Co-op.

These opportunities include office volunteer's working across both bases; Umbrella House and our information office at the Ronnie MacKeith centre. Fundraising by having; charity cake sells, Easter egg collections and quiz nights to name but a few of many fun events! Parents themselves have volunteered their time at support groups and offering peer to peer support to other families. We have also had volunteers helping to maintain our gardens and doing DIY around Umbrella House. As well as frontline opportunities with our children and young people.

Umbrella also received the support of National Citizenship Service (NCS) students in fundraising; they completed sponsored swims, charity cake sells and bucket collecting.

Volunteer services have been busy networking with local schools and colleges, and we have a lot of exciting events planned for the coming year.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

18. RESTRICTED FUNDS continued

CHILDREN'S SERVICES

Short Breaks City Support

Umbrella continues to support children on the Derby City Short Breaks Framework. Children are given the chance to be supported to take part in a wide variety of activities with either a one to one support worker or as part of group activities. We are able to tailor support to meet each individual's needs and wishes, meaning we support in in the evenings, at weekends and also in the school holidays.

Umbrella have supported 129 young people over the past year. The total number of hours that these young people were supported totalled 6548 hours.

Spot Purchase

Families and professionals within Derby City and South Derbyshire are able spot purchase support from Umbrella. Umbrella work with the families to create a care package that best suits the needs and wishes of each family, whether this is in the young person's home or out in the community. These young people are also able to access our weekend trips and holiday play scheme days.

Over the past year Umbrella delivered 687 hours of support to young people in Derby City and 1158 hours to young people in South Derbyshire.

Positive Structured Activities County Support

Umbrella continues to support 11-18 year olds on the County Positive Activities Contract. Families are offered the opportunity for their children to come and take part in our groups trips and holiday play scheme days, or to receive one to one support in their local community on a more regular basis.

Umbrella delivered 744 hours of support to young people on this framework in the past year.

Long Eaton Youth Club

Umbrella continued to run a monthly Youth Club in Long Eaton for 11-18 year olds to attend. This was funded by Morrison's. They took part in a range of activities to develop independence and learn life skills. 13 young people regularly accessed the youth club in the last year.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

18. RESTRICTED FUNDS continued

PLAY & LEISURE SERVICE

Children in Need funding, for 3 years from November 2018

Circus Starr

270 tickets allocated to Umbrella Member's and advertised in the Newsletter and via email, families are asked to send a SAE and tickets posted to them or collected in person

Christmas Party

For Umbrella Members only

This is held at the Rolls Royce Pavilion.

The cost was £5.00 contribution per person towards the buffet

Children and adults; Siblings receive a Christmas present up to the value of £5.00

Ben Butler and Dan Richardson Awards

An annual achievement award given children and young people at the Christmas Party. Children and adults are nominated by Umbrella staff for any achievement they have made. All nominated receive a certificate and the overall winner a trophy

Tuesday Youth Club

A weekly youth club held at Umbrella House and out in the community. Around 15 children attending on a regular basis for children aged 11 to 18 years

The group is young person led deciding as a whole the activities, such as Lego night, Bake Off and quiz nights. Offsite activities include Clip N Climb; bowling and meals out.

Thursday Youth Club

A weekly club held at Umbrella House and out in the community.

There are currently 17 young people attending on a regular basis for children aged 11 to 18 years. The group is young person led group and some of the activities chosen are Bingo Night; movie night and offsite a trip to Matlock Bath is very popular.

Friday Youth Club

A fortnightly group held at Umbrella House and out in the community, for young adults aged 16 to 30 years. There are currently 11 young people attending the group on a regular basis

The group is young person led and they have taken part in such activities as Bake Off, BBQ and Board games at Umbrella House and Megazone and Bowling.

Umbrella Raindrops Choir

A weekly group that is held at Mackworth community centre from 11am to 12pm

The group is open to children and adults with a disability; their siblings and parents and carers.

There are currently 25-30 people attending the Choir

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

18. RESTRICTED FUNDS continued

Variety West Midlands Safari Park Event

An Annual event; Umbrella were allocated 100 tickets for families to attend West Midlands Safari Park – free entry to the park and a reduced cost of £3.00 for the rides wristband.

Umbrella Siblings Group 11 to 17 years

The Umbrella Siblings group meet monthly on a Friday evening at Umbrella House and out in the community. The group is young person led and suggests each months activities; these include mad science night; sports and outside games and Offsite Bowling and Crazy golf are very popular.

We currently have 12 young people attending the group.

ADULT SERVICES

The Umbrella Young Adults Service has seen steady growth between April 2018 and March 2019. Most growth has occurred on the TEAM project, whereas INDE has now reached maximum capacity at groups.

Between both projects Umbrella has provided nearly 18,000 hours of support over the last financial year to families and young adults within Derby City and Derbyshire, this is an increase of 2500 hours for the same period the previous year. Umbrella actively support about 70 young adults at the group settings as well as one to one in the community.

Although the number of adults supported has not increased on last year, this figure is reflective of a number who have left services and then new starters who have maintained the total number we support. The increase in hours has been through an increase in service delivery for individuals who currently access Umbrella, showing we are a trusted and valued service. We now regularly deliver over 1350 hours of support per month and during 2018/2019 generated an income just over £287,649.00, an increase of £45,000.00 on the last financial year.

Daytime term time TEAM groups are now well attended, with two days a week running at full capacity, and the remaining days only allowing for a small number of new referrals. During the holidays daytime TEAM groups are running at full capacity in correlation to additional holiday staff availability. Venue capacity and staffing has now become the main restriction on service growth. Although Umbrella are on the framework for Derbyshire County Council we have not received referrals for this service, although it has raised our profile in the County and we are continuing to provide support in more bespoke ways to young people through their personal budgets. Umbrella are excited to have completed the research study to review Adult Services with funding from Lloyds TSB. This piece of work clearly identified areas of growth and development for our future.

Umbrella has applied for additional funding for a new management post to implement and take forward the outcomes from this piece of work.

19. Related party transactions

The charity has no related party transactions that required disclosure.

20. Company limited by guarantee

The Charity is a company limited by guarantee. Every member promises, if the Charity is Dissolved, while they remain a member or within 12 months afterwards, to pay up to £1 towards the costs of dissolution and the liabilities incurred by the Charity while the contributor was a member.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2019

21. Corporation taxation

The charity is exempt from tax on income and gains falling within section 505 of the Taxes Act 1988 or section 252 of the Taxation of Chargeable Gains Act 1992 to the extent that these are applied to its charitable objects.

22. Cash generated from operations

	2019	2018
	£	£
Surplus for the year	92,641	26,516
Adjustments for:		
Investment income shown in investing activities	(1,594)	(494)
Depreciation and impairment of tangible fixed assets	20,805	16,882
Loss on revaluation of investments	276	153
Movements in working capital:		
Decrease/(increase) in debtors	18,498	(9,304)
(Decrease)/increase in creditors	(9,246)	9,891
Cash generated from operations	121,380	43,644

23. Financial Instruments

The carrying amounts of the charity's financial instruments are as follows:

	2019	2018
	£	£
Financial assets		
Measured at fair value through net income/expenditure		
Fixed asset listed investments	7,416	7,692
	<u>7,416</u>	<u>7,692</u>
	2019	2018
	£	£
Debt instruments measured at amortised cost		
- Trade Debtors	22,767	55,566
	<u>22,767</u>	<u>55,566</u>
	2019	2018
	£	£
Financial liabilities		
Measured at amortised cost		
- Trade Creditors	3,459	3,269
	<u>3,459</u>	<u>3,269</u>

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL HISTORY 2014-2019

(This page does not form a part of the statutory financial statements which are subject to the Independent Examiners report on page 8)

Umbrella Derby & Derbyshire Limited (reg Charity 1150203) has been operating as a legal entity since April 2013. Previously it existed as Umbrella (reg Charity 770088)

Year Ending 31 March	Umbrella Derby & Derbyshire Ltd					Umbrella
	2019	2018	2017	2016	2015	2014
	£	£	£	£	£	£
Funds						
Restricted Funds	14,549	16,609	47,760	134,527	163,317	112,170
Designated Funds	162,602	58,220	47,268	28,068	30,690	34,573
Unrestricted	258,938	268,619	221,904	115,109	40,420	34,573
	436,089	343,448	316,932	277,704	234,427	181,316
Months expenditure held in reserve						
Gross reserves	6.5	5.5	5.1	2.6	5.7	1.9
Net Reserves	4.0	4.5	4.2	2.1	1.0	0.9
Incoming resources						
Funding	320,495	327,962	226,479	246,670	289,874	316,276
Investment income	1,594	494	590	614	274	386
Donations	44,735	34,818	56,247	46,006	22,268	25,449
Fundraising	15,724	15,262	31,430	21,127	210,540	87,257
Earned income/other	392,912	359,978	361,476	348,517	25,000	25,000
Legacy	94,026	-	-	-	-	-
	869,486	738,514	676,222	662,934	547,956	454,368
Resources Expended						
Staff Costs	624,163	580,147	519,779	495,947	393,408	335,375
Other costs	152,682	131,698	117,811	123,387	101,202	105,366
	776,845	711,745	637,590	619,334	494,610	440,741
Staff costs/Total Costs	80%	81%	82%	80%	80%	76%
Average Staff Numbers	59	51	49	63	75	45
Full Time Equivalent	25	22	23	28	17	20
Funding						
Derby City Council	117,417	105,001	98,061	68,346	76,321	123,023
Derbyshire County Council	28,841	58,419	24,991	119,020	146,946	132,953
Big lottery	105,999	100,150	38,001	17,994	-	-
Transition fund	-	-	-	-	-	18,700
Children in Need	57,080	53,349	42,398	9,641	14,296	23,087
Lloyds TSB	6,250	8,750	-	-	-	10,000
Other	4,908	2,293	23,028	31,669	52,311	8,513
	320,495	327,962	226,479	246,670	289,874	316,276
Funding/Expenditure	41%	46%	34%	40%	59%	72%
Tangible Fixed Assets						
Opening Balance	153,965	149,247	133,937	150,087	172,730	204,438
Additions	37,685	21,600	29,942	10,302	6,057	1,229
Depreciation	(20,805)	(16,882)	-14,632	-26,452	-28,700	-32,937
Net Book Value	170,845	153,965	149,247	133,937	150,087	172,730

Annual accounts for Umbrella (Reg Charity 700884) are no longer available on the Charity Commission website. Digital copies can be obtained from Umbrella Derby & Derbyshire Limited.