



UMBRELLA DERBY & DERBYSHIRE

FINANCIAL STATEMENTS

31 MARCH 2020

**Charity Number 1150203
Company Number 07904108**

DKS Chartered Certified Accountants

**2 Kingsdale Grove
Chellaston
Derby
DE73 5NX**

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL STATEMENTS

31 MARCH 2020

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UMBRELLA DERBY & DERBYSHIRE

REFERENCE AND ADMINISTRATIVE DETAILS

The Board of Trustees / Directors

Tracy Harrison	Chair
Ruth Pownall	Vice Chair
Nigel Farrow	Secretary
John Russell	Treasurer
Janet Holland	
Sharon Insull	
Suzanne Parry-Jones	
Annie Hall	Deceased 8 November 2019
James Stafford	Appointed 12 August 2020
John Mungai	Appointed 12 August 2020
Wayne Cook	Appointed 12 August 2020

Company Secretary Nigel Farrow

Chief Executive Ann Rowlands

Registered Office Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Independent Examiner David Smith FCCA
DKS Chartered Certified Accountants
2 Kingsdale Grove
Chellaston
Derby
DE73 5NX

Bankers Barclays Bank plc
Barclays Business Centre
Sir Frank Whittle Road
Derby
DE21 4RX

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES' REPORT
YEAR ENDED 31 MARCH 2020**

The directors who are also trustees have pleasure in presenting their report and unaudited financial statements of the charity for the year ended 31 March 2020.

Principal activities

The principal activity of the charity is to advance and support the relief of disabled and/or sick children and young adults and to promote research and awareness of the related courses of treatment of this sector. This is in accordance with the objects contained in the Memorandum and Articles of Association, the charity's governing document.

Fixed assets

Details of movements in fixed assets are shown in note 10 to the accounts

Directors The directors who served during the year are shown on page 1.

OBJECTIVES AND ACTIVITIES

Charitable objectives

To advance and support charities and charitable purposes and in particular but without prejudice to the generality of the foregoing: (1) The relief of disabled and/or sick children. (2) The provision of information to or for the benefit of disabled and/or sick children. (3) The provision (and assisting in the provision) of facilities, equipment and amenities for the treatment or welfare of disabled and/or sick children. (4) The relief in cases of financial need, hardship or distress of disabled or sick children and of their relatives. (5) The promotion of research into the causes and treatment of disabled and/or sick children and the publication of the results thereof. Umbrella is a registered charity supporting children and young people with disabilities and their families who live in Southern Derbyshire. The following summarises our services and achievements.

UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2020

ACHIEVEMENTS AND PERFORMANCE

CHAIR'S REPORT

"M is really benefitting from the social interaction in the Zoom group sessions. He is learning good life skills from communicating digitally with his friends.

Thank for organising a lovely session, it was really informative and gave practical tips to use at home, we are definitely using some of them, it's always nice to meet parents and chat to share ideas and story's definitely helps with feeling isolated

Everything with her worker is brilliant, she is fantastic and gets on really well with C. C has been supported by Umbrella for about 5 years now and have always been great."

I present to you our annual report.

Last year I mentioned in my first report as chair of trustees my thanks to my predecessor Annie Hall. Sadly, shortly after our annual report was published Annie tragically lost her life in the Derbyshire floods in November 2019. Annie's Legacy will be in our new premises at Rykneld recreational ground which will be named The Annie Hall Centre but will be aptly known as "Annie's place".

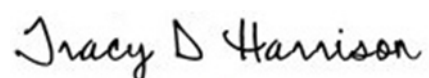
It has been an incredibly busy year with some amazingly successful projects outlined later in this report.

Despite the end of the year being hugely affected by Covid-19 we have supported children, young adults and families across Derby and Derbyshire. We help them live their lives with support and opportunities to develop and thrive. The last two months have been incredibly challenging but the leadership through the CEO and senior management team have made a huge effort to offer support in a safe environment developing new online clubs and activities that will always now be an option in the future.

We made a small operating loss this year but taking account of the Covid-19 pandemic and the reduction of income and fundraising during March it is understandable. We also acknowledge the next year will be financially challenging.

The trustees acknowledge the contribution of all of those involved with umbrella, staff, volunteers, and supporters. On behalf of the trustees we thank everyone for their contribution.

Together we change lives.



UMBRELLA DERBY & DERBYSHIRE

TRUSTEES REPORT

YEAR ENDED 31 MARCH 2020

PUBLIC BENEFIT

Umbrella provides support to disabled children and their families within the Southern Derbyshire catchment area. Most of the support and activities provided are Government funded via Local Government and Health.

The services and activities provided are open to all disabled children and their families, subject to availability, funding and funder conditions.

In practice, due to the wide range of disabilities supported, some activities are more appropriate for some children than others. Our Care Quality Commission (CQC) allows Umbrella to support children and young adults with complex needs in their own homes.

Our services rely extensively on our team of Volunteers. The Volunteer service plays an important part in the personal development of the, mainly, young adults who form our volunteer pool.

Umbrella Derby & Derbyshire Limited continually strives to extend its services and activities. These new activities are initially funded from public or corporate donations, and our own reserves. As the new services become established, we progressively seek to obtain mainstream funding contracts to continue the service.

FINANCIAL REVIEW

2019-20 was expected to be a challenging year with a forecast operating shortfall of £25k on a turnover of £750k. In the event the actual shortfall was £17.6k on a turnover of £778k.

The challenges we faced were the increasing costs of service delivery which were not matched by increases in contractual payments for services. We were also constrained by the space available to deliver the level of services demanded. This, in turn, presented an urgent challenge to find additional accommodation to extend our service delivery, particularly our expanding adult services.

We were able to acquire a 40-year, peppercorn lease, on a council owned former community centre which needed extensive refurbishment. This refurbishment programme is continuing into the current financial year, and when complete the building will be named the “Annie Hall Centre” in memory of Annie Hall a former chair and trustee who tragically died in November 2019. She had substantially raised the profile of Umbrella and had attracted a significant amount of funding towards the refurbishment cost of the new building.

In late March, the impact of the Coronavirus became apparent and after lockdown Umbrella House was closed, and services were suspended for the last week of the financial year. Staff were not furloughed for the final week in March, but income was earned for this week. The £17.6k shortfall for the year was approximately equal to the loss of one week's income. Without Coronavirus we would have just about broken even.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2020**

Financial Review Continued

Reserves policy

The company's reserves policy is to hold 3 months expenditure in general reserves. This currently equates to about £195k. General reserves at 31 March 2020 are £229k which equates to approximately 3.5 months expenditure.

At 31 March 2020 cash balances, excluding the £94k legacy, were £155k plus £76k of invoiced debtors. This is a slight reduction since March 2019.

PLANS FOR FUTURE PERIODS

The Future Challenge

The coronavirus pandemic has created serious uncertainty regarding the future.

From April- June 2020 most front line staff were furloughed and there was no service delivery income. Although payroll costs were largely covered by the HMRC furlough scheme there were still unfunded overhead costs.

From July some services have been resumed, using premises rented until the end of August.

Work is continuing on the refurbishment of the "Annie Hall Centre". This was initially funded from a legacy received in 2018, and we have successfully bid for additional funding from several sources which should enable us to complete the refurbishment in one stage. This will then enable us to deliver more saleable services.

Much of our work is funded, directly or indirectly, by Local Authority contracts. Inevitably Public sector expenditure will be under enormous pressure as the economy recovers from Coronavirus, and it is impossible at this stage to make any confident predictions for the future.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2020**

STRUCTURE, GOVERNANCE AND MANAGEMENT

The charity was initially established on 26 April 1988 and registered as a charity on 1 December 1988, registered charity number 700884. Since April 2013, it has been operating as a Company Limited by Guarantee (Company no 07904108, Charity no 1150203) and operates from the registered office address which is Umbrella House, 64 Birdcage Walk, Derby, DE22 4LD. The charity is governed by its constitution as amended on 12 June 1997. There have been no further amendments since that date.

Policies and procedures for induction and training of trustees

The induction and training pack for employees has now been updated and will form the model for an induction and training programme for directors/trustees.

Organisational structure

The charity is managed by the board of directors/trustees. The board of directors has given limited powers to the Chief Executive, who reports formally to the board through board meetings.

Risk mitigation policy

Where appropriate, systems or procedures are established to mitigate the risks the charity faces. The recent development of a business plan has helped with the identification of external and internal risks. The significant external risks to funding are an ongoing issue that is continually monitored with also a view to diversification of funding sources and activities. To this end the organisation has committed itself to the incorporation of the charity in order to develop a professional operation. Internal control risks are minimised by the implementation of procedures for authorisation of all transactions and projects. Procedures are in place to ensure compliance with all statutory obligations eg Health and Safety, DBS checks, etc; for staff, volunteers, clients and visitors to the charity where applicable. Precautionary insurance is also in place to negate any valid or spurious claims that may arise against the charity.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2020**

STRUCTURE, GOVERNANCE AND MANAGEMENT – Continued

Related parties

Umbrella has no related parties that make a significant impact upon the policies adopted by the organisation.

Pay Policy for Key Management Personnel

The Trustees of Umbrella Derby & Derbyshire set the pay structure of the senior management team via a review undertaken by a Remuneration Panel comprising of three members of the Board.

Independent Examiner

David Smith FCCA, of DKS Chartered Certified Accountants offers himself for reappointment at the AGM.

Small Company Provisions

This report has been prepared in accordance with the special provisions for small companies under part 15 of the companies Act 2006

Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Signed on behalf of the trustees

John Russell
Treasurer
Approved by the trustees on 12 August 2020

UMBRELLA DERBY & DERBYSHIRE
INDEPENDENT EXAMINER'S REPORT TO THE TRUSTEES
YEAR ENDED 31 MARCH 2020

Independent examiner's report to the Trustees of Umbrella Derby & Derbyshire

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 March 2020, which are set out on pages 9 to 27.

Responsibilities and basis of report

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the directions given by the Charity Commission under section 145(5) (b) of the 2011 Act.

Independent examiner's statement

Since the Company's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the 2011 Act. I confirm that I am qualified to undertake the examination because I am a member of the Association of Chartered Certified Accountants, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.

David Smith FCCA

DKS Chartered Certified Accountants

2 Kingsdale Grove

Chellaston

Derby

DE73 5NX

Date: 12 August 2020

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF FINANCIAL ACTIVITIES
(INCORPORATING INCOME & EXPENDITURE ACCOUNT)
YEAR ENDED 31 MARCH 2020

		Unrestricted Funds	Restricted Funds	Total Funds 2020	Total Funds 2019
	Note	£	£	£	£
INCOME					
Donations and Legacies	2	26,397	35,688	62,085	138,761
Charitable					
Activities	3	178,287	520,643	698,930	713,407
Other trading Activities	4	7,858	7,555	15,413	15,724
Investment Income	5	1,751	-	1,751	1,594
Other income	6	-	-	-	-
TOTAL INCOME		214,293	563,886	778,179	869,486
EXPENDITURE					
Charitable					
Activities	7	213,224	581,750	794,974	776,569
TOTAL EXPENDITURE		213,224	581,750	794,974	776,569
NET (LOSSES) ON INVESTMENTS	11	(815)	-	(815)	(276)
NET INCOMING RESOURCES/NET INCOME BEFORE TRANSFERS		254	(17,864)	(17,610)	92,641
Transfers Between Funds	16	(23,252)	23,252	-	-
NET MOVEMENT IN FUNDS FOR THE YEAR		(22,998)	5,388	(17,610)	92,641
Total fund Balances at 1 April 2019		421,540	14,549	436,089	343,448
TOTAL FUND BALANCES AT 31 MARCH 2020		398,542	19,937	418,479	436,089

The notes on pages 13 to 27 form part of the financial statements

UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2020

		2020		2019	
	Note	£	£	£	£
Fixed Assets					
Tangible Assets	10		158,104		170,845
Current Assets					
Investments	11	6,601		7,416	
Debtors	12	76,779		64,660	
Cash at Bank and in Hand		248,940		249,606	
		<u>332,320</u>		<u>321,682</u>	
Creditors: amounts falling due within one year	13	<u>(51,921)</u>		<u>(24,475)</u>	
Net Current Assets			280,399		297,207
Total assets less current liabilities			<u>438,503</u>		<u>468,052</u>
Creditors: Amounts falling due after one year	14		(20,024)		(31,963)
Net Assets			<u>418,479</u>		<u>436,089</u>
Funds					
Unrestricted					
Designated Funds	15				
Staff redundancy Provision			75,308		68,576
Legacy Fund			94,026		94,026
Other Charitable Funds					
General Reserves	15		229,208		258,938
Restricted	16		19,937		14,549
Total Charity Funds	17		<u>418,479</u>		<u>436,089</u>

The notes on pages 13 to 27 form part of the financial statements

**UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2020**

For the year ended 31 March 2020 the company was entitled to exemption under section 477 of the Companies Act 2006 relating to small companies.

Directors responsibilities:

- (i) The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006; and
- (ii) The directors acknowledge their responsibilities for complying with the requirements of the Companies Act with respect to the accounting records and the preparation of accounts.

These accounts have been prepared in accordance with the provisions applicable to small companies subject to the small companies regime and in accordance with FRS102 SORP.

These financial statements were approved and authorised for issue by the Trustees on 12 August 2020 and are signed on their behalf by:

Tracy Harrison

Chair of Trustees

Registered Number 07904108

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF CASH FLOWS
AT 31 MARCH 2020

		2020	2019
	Note	£	£
Cash flows from operating activities			
Cash generated from operations	22	15,572	121,380
Investing activities			
Purchase of tangible fixed assets		(10,293)	(37,685)
Interest received		1,751	1,594
Net cash provided by investing activities		(8,542)	(36,091)
Net increase in cash and cash equivalents		7,030	85,289
Cash and cash equivalents at beginning of year		217,648	132,359
Cash and cash equivalents at end of year		224,678	217,648
Cash at bank and in hand		248,940	249,606
Bank loan		(24,262)	(31,958)
Net cash and cash equivalents		224,678	217,648

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

1. Accounting policies

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

a. Basis of preparation

Basis of accounting

These accounts have been prepared under the historical cost convention with items recognised at cost or transaction value unless otherwise stated in the relevant note(s) to these accounts.

The accounts have been prepared in accordance with:

- the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 (as updated through Bulletin 1 published on 2 February 2016)
 - and with the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102)
 - and with the Charities Act 2011.
- The charity constitutes a public benefit entity as defined by FRS 102.

The accounts are prepared in sterling, which is the functional currency of the company. Monetary amounts in these financial statements are rounded to the nearest £. These accounts for the year ended 31 March 2017 are the first accounts of Umbrella Derby & Derbyshire prepared in accordance with FRS 102, The Financial Reporting Standard applicable in the UK and Republic of Ireland. The reported financial position and financial performance for the previous period are not effected by the transition to FRS 102.

b. Income recognition policies

Items of income are recognised and included in the accounts when all of the following criteria are met:

- the charity has entitlement to the funds;
- any performance conditions attached to the item(s) of income have been met or are fully within the control of the charity;
- there is sufficient certainty that receipt of the income is considered probable; and
- the amount can be measured reliably.

For legacies, entitlement is taken as the earlier of:

- the date on which the charity is aware that probate has been granted;
- the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

Income received in advance or provision of other specified services are deferred until the criteria for income recognition are met.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

1. Accounting policies continued

c. Donated services and facilities

Donated services or facilities are recognised as income when the charity has control over the item, any conditions associated with the donated item have been met, the receipt of economic benefit from the use by the charity of the item is probable and that economic benefit can be measured reliably. On receipt, donated services and facilities are recognised on the basis of the value of the gift to the charity which is the amount the charity would have been willing to pay to obtain services or facilities of equivalent benefit on the open market; a corresponding amount is then recognised in expenditure in the period of receipt.

d. Interest receivable

Interest on funds held on deposit is included when receivable and the amount can be measured reliably by the charity; this is normally upon notification of the interest paid or payable by the Bank.

e. Fund accounting

Unrestricted funds are available to spend on activities that further any of the purposes of the charity. Designated funds are unrestricted funds of the charity which the trustees have decided at their discretion to set aside for use for a specific purpose. Restricted funds are donations which the donor has specified are to be solely used for particular areas of the charity's work or for specific projects undertaken by the charity.

f. Expenditure and irrecoverable VAT

Expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party, it is probable that settlement will be required and the amount of the obligation can be measured reliably. Expenditure is classified under the following activity headings:

- Expenditure on charitable activities includes the costs undertaken to further the purposes of the charity and their associated support costs.
- Other expenditure represents those items not falling into any other heading.

Irrecoverable VAT is charged as a cost against the activity for which the expenditure was incurred.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

1. Accounting policies continued

g. Tangible fixed assets

Individual fixed assets costing £1,000 or more are capitalised at cost and are depreciated over their estimated useful economic lives on a straight line basis as follows:-

Asset Group	Capitalisation	Depreciation (Years)
Land & Buildings, including fees etc	At Original cost	25 years
Refurbishment, including professional fees	At Original cost	5 years
Equipment for new Building	At Original cost	3 years
IT equipment	At Original cost	3 years
Office Equipment	At Original cost	5 years

h. Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

i. Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

j. Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

k. Financial instruments

The charity only has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value.

l. Pension Costs

The charity operates a defined contribution pension scheme for employees. The assets are held separately from those of the charity. The annual contributions payable are charged to the statement of financial activities. These costs reflect the number of members in the scheme.

m. Investments

Investments are stated at market value at the balance sheet date. The SOFA includes those unrealised gains and losses arising from the revaluation of the investment portfolio at the balance sheet date.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

	Unrestricted Funds £	Restricted Funds £	Total Funds 2020 £	Total Funds 2019 £
2. Donations & Legacies				
Donations	26,397	35,688	62,085	44,735
Legacy	-	-	-	94,026
	<u>26,397</u>	<u>35,688</u>	<u>62,085</u>	<u>138,761</u>
Year Ended 31 March 2019	<u>138,242</u>	<u>519</u>		<u>138,761</u>
3. Charitable Activities				
Grants/ Income Receivable from				
Derby City Council	-	119,476	119,476	117,417
Derbyshire County Council	-	23,401	23,401	28,841
Morrisons	-	-	-	4,908
Lloyds Foundation	-	9,429	9,429	6,250
Earned Income	178,287	176,566	354,853	392,912
Big Lottery	-	101,802	101,802	105,999
Children in Need	-	40,582	40,582	57,080
Global Make Some Noise	-	49,387	49,387	-
	<u>178,287</u>	<u>520,643</u>	<u>698,930</u>	<u>713,407</u>
Year Ended 31 March 2019	<u>214,595</u>	<u>498,812</u>		<u>713,407</u>
4. Other Trading Activities				
Event / Club Income	295	6,702	6,997	1,777
Fundraising	4,677	-	4,677	13,616
Other	2,886	853	3,739	331
	<u>7,858</u>	<u>7,555</u>	<u>15,413</u>	<u>15,724</u>
Year Ended 31 March 2019	<u>15,724</u>	<u>-</u>		<u>15,724</u>
5. Investment Income				
Bank Interest receivable	1,353	-	1,353	1,337
Dividend Income	398	-	398	257
	<u>1,751</u>	<u>-</u>	<u>1,751</u>	<u>1,594</u>
Year Ended 31 March 2019	<u>1,594</u>	<u>-</u>		<u>1,594</u>
6. Other income				
Year Ended 31 March 2020	<u>-</u>	<u>-</u>		<u>-</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

7. EXPENDITURE

	AP	CIN	Spot Funds	Collaboration	Global	Horizons	Family Support	Lloyds	Long Eaton	Team	Total Restricted	Un restricted	Total	2018-19
Employment costs	-	42,681	49,126	1,881	22,270	1,667	87,691	7,013	1,796	178,696	392,821	254,524	647,345	624,163
Staff Travel	-	525	1,613	-	655	76	1,189	44	78	7,500	11,680	6,985	18,665	18,038
Training Costs	-	-	-	1,636	-	-	670	-	-	-	2,306	1,800	4,106	6,350
Volunteer Expenses	-	18	-	-	82	-	128	-	-	9	237	489	726	252
Project catering	-	2,653	93	35	1,320	-	984	-	40	1,550	6,675	2,275	8,950	10,325
Venue hire	-	458	-	81	810	-	1,657	-	-	100	3,106	107	3,213	4,563
User Travel	810	200	214	-	1,205	-	225	-	-	1,865	4,519	1,049	5,568	6,463
Misc Project costs	287	3,962	581	-	3,483	-	3,988	-	2	1,685	13,988	4,267	18,255	16,417
Printing, Postage and Stationery	-	-	-	-	-	-	13	-	-	-	13	3,131	3,144	5,951
Phone Costs	-	-	-	-	-	-	-	-	-	-	-	9,274	9,274	8,024
Computer + IT Costs	-	-	-	-	-	-	-	-	-	-	-	6,748	6,748	7,629
Photocopier cost	-	-	-	-	-	-	-	-	-	-	-	3,642	3,642	6,105
Advertising/Promotional	18	10	-	-	-	-	1,579	-	-	-	1,607	339	1,946	3,692
Insurances	-	-	-	-	-	-	-	-	-	-	-	6,770	6,770	5,540
Legal + Professional	2,683	-	-	-	-	-	-	-	-	-	2,683	1,262	3,945	7,268
Accountancy	-	-	-	-	-	-	-	-	-	-	-	750	750	750
Subscriptions	-	-	-	-	-	-	-	-	-	-	-	85	85	180
Entertain + Refreshment	-	277	36	202	60	-	20	-	-	210	805	1,293	2,098	3,109
DBS Checks	-	-	-	-	-	-	109	-	-	-	109	1,033	1,142	795
Mortgage + Interest Payable	-	-	-	-	-	-	-	-	-	-	-	1,593	1,593	1,980
Office/General Administrative Expenses	-	-	-	-	46	-	35	-	-	-	81	2,157	2,238	1,352
Rent or Rates	2,000	-	-	22	-	-	-	-	-	-	2,022	591	2,613	710
Repair and maintenance	3,760	-	-	-	-	-	-	-	-	-	3,760	4,136	7,896	6,849
Cleaning	-	-	-	-	-	-	-	-	-	-	-	2,839	2,839	1,897
Electricity	-	-	-	-	-	-	-	-	-	-	-	2,195	2,195	1,813
Gas	-	-	-	-	-	-	-	-	-	-	-	2,482	2,482	1,282
Water	-	-	-	-	-	-	-	-	-	-	-	462	462	764
Bad debts	-	-	-	-	-	-	10	-	-	354	364	412	776	522
Total Depreciation	-	-	-	-	-	-	-	-	-	-	-	23,034	23,034	20,805
Expensed equipment	(341)	93	-	-	22	-	962	-	-	-	736	1,091	1,827	2,696
Bank charges	-	-	-	-	-	-	-	-	-	-	-	647	647	285
Total resources Expended	9,217	50,877	51,663	3,857	29,953	1,743	99,260	7,057	1,916	191,969	447,512	347,462	794,974	776,569
Apportionment of Core Costs	-	17,414	17,934	1	7,117	507	31,484	2,372	704	56,705	134,238	(134,238)	-	-
TOTAL Expenditure	9,217	68,291	69,597	3,858	37,070	2,250	130,744	9,429	2,620	248,674	581,750	213,224	794,974	776,569

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

	2019-20	2018-19
	£	£
8. Aggregate Payroll costs were:-		
Wages & Salaries	596,984	574,754
Social security costs	30,237	28,585
Pension costs	20,124	20,824
	<u>647,345</u>	<u>624,163</u>

No employees earned more than £60,000 in the year.

None of the trustees received remuneration during the year.

Trustee travel expenses during the year totalled £nil (2019: £nil).

The key management personnel of the charity, comprise the Trustees, the Chief Executive Officer and Finance Officer.

The total employee benefits of the key management personnel of the charity was £50,346 (2019: £48,882).

Particulars of employees

The average head count of staff employed by the charity during the financial year amounted to

	2019-20	2018-19
Management Staff	4	3
Administrative Staff	6	5
Charitable staff – Contracted/holidays (Activity Support Worker)	56	43
Charitable staff – (Co-ordinators and Family Support)	9	8
	<u>75</u>	<u>59</u>

Only 6 (2019: 6) of the above are full time

The Average Full time equivalent is as follows:-

Management staff	3.4	2.5
Administrative staff	2.4	2.34
Charitable staff – Contracted/holidays (Activity Support Worker)	16.64	14.30
Charitable staff – (Co-ordinators and Family Support)	6.3	6
	<u>28.74</u>	<u>25.14</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

9. Operating (Deficit)/ surplus

The Operating (deficit)/ surplus is stated after charging :-

	2019-20	2018-19
	£	£
Depreciation	23,034	20,805
Independent examiner's remuneration (incl VAT)	900	750
	<u>23,934</u>	<u>21,555</u>

10. Tangible Fixed Assets

	Land & Buildings £	Building Refurbish- -ment £	Equipment £	IT £	Office Equipment & Furniture £	Total £
Cost						
At 1 April 2019	192,230	101,527	34,418	36,614	7,827	372,616
Additions	-	7,529	-	2,764	-	10,293
Disposals	-	-	-	-	-	-
At 31 March 2020	<u>192,230</u>	<u>109,056</u>	<u>34,418</u>	<u>39,378</u>	<u>7,827</u>	<u>382,909</u>
Depreciation						
At 1 April 2019	(58,340)	(93,693)	(19,432)	(25,117)	(5,189)	(201,771)
Charge for year	(9,566)	(1,912)	(3,610)	(7,048)	(898)	(23,034)
Eliminated on disposals	-	-	-	-	-	-
At 31 March 2020	<u>(67,906)</u>	<u>(95,605)</u>	<u>(23,042)</u>	<u>(32,165)</u>	<u>(6,087)</u>	<u>(224,805)</u>
Net book value						
At 31 March 2020	<u>124,324</u>	<u>13,451</u>	<u>11,376</u>	<u>7,213</u>	<u>1,740</u>	<u>158,104</u>
% of Original cost	65%	12%	33%	18%	22%	41%
At 31 March 2019	<u>133,890</u>	<u>7,834</u>	<u>14,986</u>	<u>11,497</u>	<u>2,638</u>	<u>170,845</u>
% of Original cost	70%	8%	44%	31%	34%	46%

11. Investments

	2019-20	2018-19
	£	£
HSBC Shares	7,416	7,692
Unrealised (loss) on shares	(815)	(276)
	<u>6,601</u>	<u>7,416</u>

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

12. Debtors

	2019-20	2018-19
	£	£
Trade Debtors	74,753	22,767
Prepayments and		
Accrued Income	2,026	41,893
	<u>76,779</u>	<u>64,660</u>

13. Creditors: Amounts falling due within one year

	2019-20	2018-19
	£	£
Trade Creditors	3,005	3,459
Accruals and deferred income	40,889	13,374
Flexible commercial Mortgage	8,027	7,642
	<u>51,921</u>	<u>24,475</u>

Deferred income at 31 March 2020 totals £40,374
(2019: £13,105)

14. Creditors: Amounts falling due after one year

	2019-20	2018-19
	£	£
Flexible Commercial mortgage	16,235	24,316
Aiming High collaborative Project	3,789	7,647
	<u>20,024</u>	<u>31,963</u>

The Barclays Flexible Commercial Mortgage is secured against the Birdcage Walk Property
The aggregate amount of repayments falling due after 5 years is £nil (2019: £nil)

£3,789 of funds are being held by Umbrella Derby & Derbyshire in respect of the Aiming High Collaborative project. These are being used to reimburse expenditure by project partners.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

15. Unrestricted Funds: Movements in year

	Balance 1 April 2019	Incoming Resources	Resources expended	Transfers	Balance 31 March 2020
	£	£	£	£	£
Designated Funds					
Staff redundancy Contingency	68,576	-	-	6,732	75,308
Legacy fund designated General Reserves	94,026	-	-	-	94,026
	258,938	214,293	(214,039)	(29,984)	229,208
	421,540	214,293	(214,039)	(23,252)	398,542

16. Restricted Funds: Movements in year

	Balance 1 April 2019	Incoming Resources	Resources expended	Transfers	Balance 31 March 2020
	£	£	£	£	£
Family Support	4,325	106,479	(130,744)	31,120	11,180
Children in Need	(6,459)	60,741	(68,291)	-	(14,009)
Global Make Noise	(439)	53,094	(37,070)	(2,115)	13,470
TEAM	15,659	242,243	(248,674)	(9,228)	-
Lloyds	-	9,429	(9,429)	-	-
Horizons	663	1,087	(2,250)	500	-
Long Eaton Club	3,921	66	(2,620)	(1,367)	-
Collaboration Fund	(1)	3,858	(3,858)	-	(1)
Spot Purchase	(3,120)	62,305	(69,597)	10,412	-
Other	-	24,584	(9,217)	(6,070)	9,297
	14,549	563,886	(581,750)	23,252	19,937

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

17. Analysis of Net assets between funds

	Tangible Fixed Assets	Net Assets/ (liabilities)	Total
	£	£	£
Restricted Funds			
Family Support	418	10,762	11,180
Children in Need	409	(14,418)	(14,009)
Global Make Noise	996	12,474	13,470
Collaboration Fund	-	(1)	(1)
Other	7,436	1,861	9,297
	9,259	10,678	19,937
Unrestricted Funds			
Designated Funds			
Staff redundancy provision	-	75,308	75,308
Legacy Fund	-	94,026	94,026
General reserves	148,845	80,363	229,208
	148,845	249,697	398,542
Total Funds	158,104	260,375	418,479

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

18. RESTRICTED FUNDS

Umbrella Derby & Derbyshire Limited continues to develop and expand its services. Inevitably, the scope, content and cost of some services have evolved and changed since the current funding contracts were originally secured. Whilst we continue to monitor individual contract expenditure against the agreed funding bases, it is important to recognise the current cost of each service, including full recovery of overheads, as a basis for future funding bids.

The following notes describe each service in the context of this transition phase. As the funding basis changes the split between restricted and unrestricted funds becomes increasingly arbitrary.

VOLUNTEER & FAMILY SUPPORT TEAM

Family Support

Family Support continues to be a vital service provided by Umbrella, supporting parent/carers of children and young people in Derby and South Derbyshire. The Single Point of Access (SPA) located at the Ronnie MacKeith Child Development Centre, Derby Royal hospital, is the gateway to all Umbrellas' services for both parent/carers and professionals.

The SPA is not only the access into Umbrella's services (five days a week, 9:30am until 3:30pm), it is the lifeline for parent/carers to receive advice, information and support. The team provide home visits and facilitate eight parent/carer support groups. These are invaluable offering emotional, practical assistance and peer to peer support.

The Family Support team also provide workshops/information sessions, family fun activities, carers events and Umbrella's highly successful Market Place event.

Volunteer service

Umbrella Volunteer Services has continued to recruit and train new volunteers and providing them with opportunities including frontline support work, peer to peer support on family support and administration support. Frontline includes day trips in the community, play scheme sessions at Umbrella House and youth clubs on both children's and adult services. A total of 5,023 volunteer hours were delivered for the 2019-20 period. This total includes a new partnership with the NHS and trainee nurses, Umbrella is supporting them to widen their awareness of supporting young people with additional needs.

Umbrella continued to work closely with local companies to provide their staff teams with volunteering opportunities. They supported Umbrella by completing garden maintenance, fundraising, painting and decorating. We also worked with local National citizenship service (NCS) teams in fundraising projects, raising over £1,000 in gift cards and resources for all services.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

18. RESTRICTED FUNDS continued

CHILDREN'S SERVICES

Short Breaks City Support

Umbrella had another successful year in which we supported to 114 children on the Derby City Short Breaks Framework. 6618 support hours were delivered, an increase from last year, despite there being a significant drop in service due to COVID19 in March.

Children are supported one to one by an ASW or as a part of weekend trips and play schemes. This service has always been child led and a more focused approach to focusing on individual targets and outcomes as part of their bespoke care package has helped to successfully develop this even further over the last year.

Spot Purchase

Umbrella had another successful year in which we supported to 114 children on the Derby City Short Breaks Framework. 6618 support hours were delivered, an increase from last year, despite there being a significant drop in service due to COVID19 in March.

Children are supported one to one by an ASW or as a part of weekend trips and play schemes. This service has always been child led and a more focused approach to focusing on individual targets and outcomes as part of their bespoke care package has helped to successfully develop this even further over the last year.

Positive Structured Activities County Support

Umbrella entered their fourth year of the Derbyshire County Council's Positive Activities Contract for 11- 18 year olds. Umbrella delivered 434 hours of support to young people on this framework in the past year.

Families are offered the opportunity for their children to take part in Umbrella activities at Umbrella House and out in the wider community. A key focus has been working closely with the families and young people to help develop the young people's social and independent life skills as the move towards adult life. A peer Side by Side group monthly support group was set up for this age group. This has been a very successful way of providing the young people and opportunity to develop friendships, cook a meal together as well as learn life skills such as handling money and talking to professionals in the community.

Long Eaton Youth Club

Umbrella continued to run a monthly Youth Club in Long Eaton for 11-18 year olds to attend. This changed over from being funded by Morrison's to Global Make Some Noise in September 2019.

**UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020**

18. RESTRICTED FUNDS continued

PLAY & LEISURE SERVICE

Children in Need funding, for 3 years from November 2018.

Circus Starr

270 tickets allocated to Umbrella Member's and advertised in the Newsletter and via email, families are asked to send a SAE and tickets posted to them or collected in person.

Christmas Party

This is a party for Umbrella Members only. The party is held at the Rolls Royce Pavilion. This is a traditional family party, including a disco, buffet and visit to see Santa.

The cost is a £5.00 contribution per person towards the buffet Children and adults; Siblings receive a Christmas present up to the value of £5.00.

Ben Butler and Dan Richardson Awards

An annual achievement award given children and young people at the Christmas Party. Children and adults are nominated by Umbrella staff for any achievement they have made. All nominated receive a certificate and the overall winner a trophy.

Tuesday Youth Club

A weekly youth club held at Umbrella House and out in the community. Around 15 children attending on a regular basis for children aged 11 to 18 years.

The group is young person led deciding as a whole the activities, such as Lego night, Bake Off and quiz nights. Offsite activities include Clip N Climb; bowling and meals out.

Thursday Youth Club

A weekly club held at Umbrella House and out in the community. There are currently 17 young people attending on a regular basis for children aged 11 to 18 years. The group is young person led group and some of the activities chosen are Bingo Night; movie night and offsite a trip to Matlock Bath is very popular.

Friday Youth Club

A fortnightly group held at Umbrella House and out in the community, for young adults aged 16 to 30 years. There are currently 14 young people attending the group on a regular basis.

The group is young person led and they have taken part in such activities as Bake Off; BBQ and Board games at Umbrella House and Megazone and Bowling.

Umbrella Raindrops Choir

This is a weekly group that is held on Monday evenings from 6.00pm-7.00pm at Mackworth community centre. The group is open to children and adults with a disability; their siblings and parents and carers. There are currently 20 people attending the Choir.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

18. RESTRICTED FUNDS continued

Variety West Midlands Safari Park Event (Not a guaranteed activity)

Umbrella are allocated 100 tickets for families to attend West Midlands Safari Park – free entry to the park and a reduced cost of £3.00 for the rides wristband.

Umbrella Siblings Group 11 to 17 years

The Umbrella Siblings group meet monthly on a Friday evening at Umbrella House and out in the community. The group is young person led and suggests each month's activity; these include mad science night; sports and outside games and Offsite Bowling and Crazy golf are very popular. We currently have 7 young people attending the group.

New Umbrella Siblings Group 8 to 10 years.

This is a new monthly group for Umbrella siblings. The group meet on Thursday evening at Umbrella House and out in the community. The group meet from 6.00pm until 8.00pm and we have 6 young children attending on a regular basis.

A young person led group with the group suggesting activities they would like to try. The group have baked, held board game nights and often go to play adventure golf.

ADULT SERVICES

Lloyds Funding Adult Service

In July 2019 Umbrella secured funding from Lloyds Bank Foundation. This funding was acquired to implement the findings and recommendations of the Umbrella Adult Services review that took place in 2018. In October 2019 a recruitment process was undertaken to appoint an Adult Service Development Manager, in which Rhian was successful and appointed to start in January 2020.

The Lloyds funding will be used to help Umbrella extend and improve its current Adult Service offer, focussing on recommendations from the Adult Service Review and new outcomes set within the Lloyds funding. Areas of focus are around developing independence skills, accessing a wider range of opportunities along with support to access accredited training, voluntary work and supported living. Umbrella are also looking to increase capacity, which has now been made possible through the acquirement and renovation of 'Annie's Place'.

During the last quarter Umbrella has implemented new and improved outcome focused support tools for every young adult accessing the adult services, with achievements being recorded, monitored and reviewed. This has given a good baseline to identify what areas we can look to offer additional support in and develop the service accordingly. The funding has now also allowed us to start exploring external partnerships to broaden experiences for the young adults we support. A number of contacts have already been made offering opportunities such as specialist sports activities, allotment community projects, volunteering with the elderly and also working with the specialist nursing team at Derby University in various roles. A three year business plan has been developed and a steering group identified to help shape the new service offer going forward.

During the last few weeks of the financial year, the corona virus pandemic was having an impact on all Umbrella services which will bring new challenges of a different kind over the coming year.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2020

19. Related party transactions

The charity has no related party transactions that required disclosure.

20. Company limited by guarantee

The Charity is a company limited by guarantee. Every member promises, if the Charity is Dissolved, while they remain a member or within 12 months afterwards, to pay up to £1 towards the costs of dissolution and the liabilities incurred by the Charity while the contributor was a member.

21. Corporation taxation

The charity is exempt from tax on income and gains falling within section 505 of the Taxes Act 1988 or section 252 of the Taxation of Chargeable Gains Act 1992 to the extent that these are applied to its charitable objects.

22. Cash generated from operations

	2020	2019
	£	£
(Deficit)/surplus for the year	(17,610)	92,641
Adjustments for:		
Investment income shown in investing activities	(1,751)	(1,594)
Depreciation and impairment of tangible fixed assets	23,034	20,805
Loss on revaluation of investments	815	276
Movements in working capital:		
(Increase)/decrease in debtors	(12,119)	18,498
Increase/(decrease) in creditors	23,203	(9,246)
Cash generated from operations	15,572	121,380

23. Financial Instruments

The carrying amounts of the charity's financial instruments are as follows:

	2020	2019
	£	£
Financial assets		
Measured at fair value through net income/expenditure		
Fixed asset listed investments	6,601	7,416
	2020	2019
	£	£
Debt instruments measured at amortised cost		
- Trade Debtors	74,753	22,767
	2020	2019
	£	£
Financial liabilities		
Measured at amortised cost		
- Trade Creditors	3,005	3,459

24. Events after the reporting date

Since the year end the COVID-19 global pandemic has occurred. The Directors have considered the effects of this with regards to the going concern basis of the charity and whilst they cannot currently provide a reliable estimate of the cost to the Charity, (given the uncertainty over the longevity of the outbreak), they feel that it will not be detrimental to the going concern of the charity.

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL HISTORY 2015-2020

(This page does not form a part of the statutory financial statements which are subject to the Independent Examiners report on page 8)

Umbrella Derby & Derbyshire Limited (reg Charity 1150203) has been operating as a legal entity since April 2013. Previously it existed as Umbrella (reg Charity 770088)

<u>Umbrella Derby & Derbyshire Ltd</u>						
Year Ending 31 March	<u>2020</u>	<u>2019</u>	<u>2018</u>	<u>2017</u>	<u>2016</u>	<u>2015</u>
	<u>£</u>	<u>£</u>	<u>£</u>	<u>£</u>	<u>£</u>	<u>£</u>
Funds						
Restricted Funds	19,937	14,549	16,609	47,760	134,527	163,317
Designated Funds	169,334	162,602	58,220	47,268	28,068	30,690
Unrestricted	229,208	258,938	268,619	221,904	115,109	40,420
	418,479	436,089	343,448	316,932	277,704	234,427
Months expenditure held in reserve						
Gross reserves	6.0	6.5	5.5	5.1	2.6	5.7
Net Reserves	3.5	4.0	4.5	4.2	2.1	1.0
Incoming resources						
Funding	344,077	320,495	327,962	226,479	246,670	289,874
Investment income	1,751	1,594	494	590	614	274
Donations	62,085	44,735	34,818	56,247	46,006	22,268
Fundraising	15,413	15,724	15,262	31,430	21,127	210,540
Earned income/other	354,853	392,912	359,978	361,476	348,517	25,000
Legacy	-	94,026	-	-	-	-
	778,179	869,486	738,514	676,222	662,934	547,956
Resources Expended						
Staff Costs	647,345	624,163	580,147	519,779	495,947	393,408
Other costs	148,444	152,682	131,698	117,811	123,387	101,202
	795,789	776,845	711,845	637,590	619,334	494,610
Staff costs/Total Costs	81%	80%	81%	82%	80%	80%
Average Staff Numbers	75	59	51	49	63	75
Full Time Equivalent	29	25	22	23	28	17
Funding						
Derby City Council	119,476	117,417	105,001	98,061	68,346	76,321
Derbyshire County Council	23,401	28,841	58,419	24,991	119,020	146,946
Big lottery	101,802	105,999	100,150	38,001	17,994	-
Children in Need	40,582	57,080	53,349	42,398	9,641	14,296
Lloyds TSB	9,429	6,250	8,750	-	-	-
Other	49,387	4,908	2,293	23,028	31,669	52,311
	344,077	320,495	327,962	226,479	246,670	289,874
Funding/Expenditure	43%	41%	46%	34%	40%	59%
Tangible Fixed Assets						
Opening Balance	170,845	153,965	149,247	133,937	150,087	172,730
Additions	10,293	37,685	21,600	29,942	10,302	6,057
Depreciation	(23,034)	(20,805)	(16,882)	(14,632)	(26,452)	(28,700)
Net Book Value	158,104	170,845	153,965	149,247	133,937	150,087

Annual accounts for Umbrella (Reg Charity 700884) are no longer available on the Charity Commission website. Digital copies can be obtained from Umbrella Derby & Derbyshire Limited.