



UMBRELLA DERBY & DERBYSHIRE

FINANCIAL STATEMENTS

31 MARCH 2022

**Charity Number 1150203
Company Number 07904108**

DKS Chartered Certified Accountants

**2 Kingsdale Grove
Chellaston
Derby
DE73 5NX**

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL STATEMENTS

31 MARCH 2022

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UMBRELLA DERBY & DERBYSHIRE

REFERENCE AND ADMINISTRATIVE DETAILS

The Board of Trustees / Directors

| | |
|---------------------|-----------------------------------|
| James Stafford DL | Chair – Appointed 8 December 2021 |
| Ruth Pownall | Vice Chair |
| Nigel Farrow | Secretary |
| John Russell | Treasurer |
| Sharon Insull | |
| Suzanne Parry-Jones | Resigned 31 July 2021 |
| Tracy Harrison | |
| Wayne Cook | |
| Beth McDonald | Appointed 14 April 2021 |
| Catherine Moseley | Appointed 31 July 2021 |
| Richard Vasey | Appointed 6 April 2022 |

Company Secretary Nigel Farrow

Chief Executive Ann Rowlands MBE

Registered Office Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Independent Examiner David Smith FCCA
DKS Chartered Certified Accountants
2 Kingsdale Grove
Chellaston
Derby
DE73 5NX

Bankers Barclays Bank plc
22-26 St Peters Street
Derby
DE1 1SH

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES' REPORT
YEAR ENDED 31 MARCH 2022**

The directors who are also trustees have pleasure in presenting their report and unaudited financial statements of the charity for the year ended 31 March 2022.

Principal activities

The principal activity of the charity is to advance and support the relief of disabled and/or sick children and young adults and to promote research and awareness of the related courses of treatment of this sector. This is in accordance with the objects contained in the Memorandum and Articles of Association, the charity's governing document.

Fixed assets

Details of movements in fixed assets are shown in note 10 to the accounts

Directors The directors who served during the year are shown on page 1.

OBJECTIVES AND ACTIVITIES

Charitable objectives

To advance and support charities and charitable purposes and in particular but without prejudice to the generality of the foregoing: (1) The relief of disabled and/or sick children. (2) The provision of information to or for the benefit of disabled and/or sick children. (3) The provision (and assisting in the provision) of facilities, equipment and amenities for the treatment or welfare of disabled and/or sick children. (4) The relief in cases of financial need, hardship or distress of disabled or sick children and of their relatives. (5) The promotion of research into the causes and treatment of disabled and/or sick children and the publication of the results thereof. Umbrella is a registered charity supporting children and young people with disabilities and their families who live in Southern Derbyshire. The following summarises our services and achievements.

UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2022

ACHIEVEMENTS AND PERFORMANCE

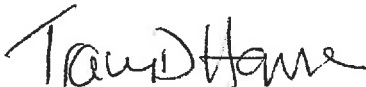
CHAIR'S REPORT

Another year has passed and due to the amazing work done by all the staff volunteers at Umbrella we have continue to deliver excellent services to our young people and their families. The variety of activities available to the young people across the services continues to increase due the enthusiasm of dedicated adults to share and pass on their skills to help the young people as well as their families with the information, support and guidance needed.

Umbrella continues to grow and develop. We are delighted that Annie's Place is being used and was officially opened in December 2021. The amount of work which has gone into this project is beyond belief, the Umbrella team will be forever grateful for the support received by an assortment of skilled people from all over the county who have done an amazing job and the building will be a fantastic asset to the charity. Our thanks to everyone who has been involved.

The Trustees have continued to meet regularly to provide support and scrutiny to Umbrella. We have been pleased with the continuing efforts of all the staff and volunteers. We face a number of challenges in Umbrella, especially the fact that costs continue to rise and we have more young people who want to receive support and services from Umbrella and we need to continue to work to recruit more Activity Support Staff and volunteers. The AGM is our opportunity to say thank you to everyone involved in Umbrella, every job makes a difference and improves the life of a young person somewhere.

We look forward to the year ahead with my plans in place to work towards our strategic goals and welcome the input from all our staff at our Away Day in April 2022. Once again thank you to all you wonderful people who give selflessly of your time to provide so many young people with fantastic opportunities and wonderful memories. Here's to an even more successful 2022/2023.



Tracy Harrison
Chair of Trustees
To 8th December 2021



James Stafford DL
Chair of Trustees
From 8th December 2021

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2022**

PUBLIC BENEFIT

Umbrella provides support to disabled children, young adults, and their families within the Southern Derbyshire catchment area. The support and activities provided are Government funded via Local Government and Health or funded from independent grants.

The services and activities provided are open to all disabled children and their families, subject to availability, funding and funder conditions.

In practice, due to the wide range of disabilities supported, some activities are more appropriate for some children than others. Our Care Quality Commission (CQC) allows Umbrella to support children and young adults with complex needs in their own homes.

Our services rely extensively on our team of Volunteers. The Volunteer service plays an important part in the personal development of the, mainly, young adults who form our volunteer pool.

Umbrella Derby & Derbyshire Limited continually strives to extend its services and activities. These new activities are initially funded from public or corporate donations, and our own reserves. As the new services become established, we progressively seek to obtain mainstream funding contracts to continue the service.

FINANCIAL REVIEW

2021-22 has been a particularly challenging year.

Continuing Covid restrictions have limited our use of space and consequently we have been unable to satisfy the demand for our services. This has resulted in lower earned income without a matching reduction in costs. However, the resulting loss of £65k relative to a net turnover of £702k was substantially better than forecast.

Analysis of our performance by sector, see table below, reveals some areas of concern.

| <u>SECTOR</u> | <u>Income (less</u> | | | <u>Overhead</u> | <u>Surplus</u> |
|---------------------------|----------------------------|----------------------------|----------------------------|--------------------------------|-----------------------|
| | <u>Cost of</u> | <u>Direct Costs</u> | <u>Contribution</u> | <u>allocation &</u> | <u>Deficit</u> |
| | <u>Sales)</u> | | | <u>Depreciation</u> | |
| Children's Services | £158,037 | (£164,734) | (£6,697) | (£33,162) | (£39,859) |
| Adult services | £300,926 | (£261,866) | £39,060 | (£38,915) | £145 |
| Play & Leisure | £44,487 | (£32,732) | £11,755 | (£5,705) | £6,050 |
| Family Support | £108,219 | (£83,597) | £24,622 | (£28,461) | (£3,839) |
| Annie's Place | £51,246 | (£29,307) | £21,939 | (£23,748) | (£1,809) |
| Core/volunteers/ reserves | £39,468 | (£157,466) | (£117,998) | £92,770 | (£25,228) |
| OPERATING TOTAL | £702,383 | (£729,702) | (£27,319) | (£37,221) | (£64,540) |

A detailed analysis of the performance by sector can be found at page 31 of the accounts.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2022**

Financial Review Continued

Conventionally we would allocate core/overhead costs across all the “service delivery” projects. However, because the income earned from some sectors has been restricted by covid limitations we have decided to limit overhead allocations to Childrens & Adult Services to 15% of direct costs. The consequence of this is, that across the total business, overheads have been under-recovered by about £37k. A more detailed analysis is included on page 31 which also compares operating income and expenditure for 2020-21 after adjustment for extra-ordinary capital investment in Annie’s Place.

Childrens & Adults Services, which account for about 65% of turnover, earn income at hourly rates imposed by the Local Authorities. These rates have failed to keep up with the costs of providing the quality of services delivered by Umbrella and, in some cases, barely cover the direct costs (Mainly payroll). Even with the reduced overhead allocation these sectors have shown a combined loss of £40k.

If we are able to maximise the use of our excellent facilities, then the shortfall could be reduced. However if the LA’s, who have a statutory duty to provide these services, wish to continue using voluntary sector providers they must be prepared to agree realistic rates. The cost of LA’s providing these services in house would be a much greater burden on the taxpayer.

Play & Leisure service, is now fully funded by donations and small grants with very little cost to the taxpayer. This service, after reduced overheads shows a small surplus of £6k.

Family Support & Information service, was the original service provided by Umbrella. Currently it is nearly fully funded by Big Lottery. It should be noted that this service has provided valuable intervention in family crisis situations and has reduced the need for LA support for potential family breakdowns.

Annie’s Place. The Annie Hall Centre, which has been extensively refurbished, now offers a high quality facility to deliver services. Over the last year Covid restrictions have severely limited the number of children and adults that we can accommodate.

We have continued to receive capital grants to continue developing this facility. Most of these grants, to buy materials and equipment for the gardens and allotment, were applied for by one trustee, who has also voluntarily built this outdoor facility which is proving popular with our young adults offering them horticultural skills and increasing our capacity to expand our service base.

After overhead allocation and depreciation of £21k, this sector made a small loss of £2k.

Core, Volunteer admin, & Reserves includes most of our overheads and management /administrative team. This has been enhanced by our trustees volunteering their time and expertise, probably worth £40k-£50k over the year.

We no longer receive LA grants to partially cover the cost of this sector and, ideally, all the costs should be recovered from the service delivery projects. Restricting the overhead recovery to 15% has resulted in this sector showing an under-recovery of £25k, most of this under-recovery results from the reduced earned income from Children’s and Adult services.

We have now achieved some external funding from The Garfield Weston Foundation, Tom Carey, and others to contribute towards core costs for the next 3 years.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2022**

Reserves policy

The company's reserves policy is to hold 3 months expenditure in general reserves. This currently equates to about £200k. General reserves at 31 March 2022 are £196k which equates to approximately 3 months expenditure.

At 31 March 2022 cash balances, were £194k plus £61k of invoiced debtors. This is down £71k on March 2021.

PLANS FOR FUTURE PERIODS

Outlook

Looking ahead we are aiming for zero cash flow for 2022-23, which, after adding back depreciation at about £40k, will result in an overall shortfall of £40k. 2023-24 should be back to breakeven. However these forecasts are dependent on identifying new funding opportunities.

STRUCTURE, GOVERNANCE AND MANAGEMENT

The charity was initially established on 26 April 1988 and registered as a charity on 1 December 1988, registered charity number 700884. Since April 2013, it has been operating as a Company Limited by Guarantee (Company no 07904108, Charity no 1150203) and operates from the Registered Office, which is Umbrella House, 64 Birdcage Walk, Derby, DE22 4LD. The charity is governed by its constitution as amended on 12 June 1997. There have been no further amendments since that date although we are seeking to extend the age range for our young adult service from 26 to 30.

Policies and procedures for induction and training of trustees

An updated training pack for employees is being amended to form the model for an induction and training programme for directors/trustees.

Organisational structure

The charity is managed by the board of directors/trustees. The board of directors has given limited powers to the Chief Executive, who reports formally to the board through board meetings.

Risk mitigation policy

Where appropriate, systems or procedures are established to mitigate the risks the charity faces. The recent development of a business plan has helped with the identification of external and internal risks. The significant external risks to funding are an ongoing issue that is continually monitored with also a view to diversification of funding sources and activities. To this end the organisation has committed itself to the incorporation of the charity in order to develop a professional operation. Internal control risks are minimised by the implementation of procedures for authorisation of all transactions and projects. Procedures are in place to ensure compliance with all statutory obligations eg Health and Safety, DBS checks, etc; for staff, volunteers, clients and visitors to the charity where applicable. Precautionary insurance is also in place to negate any valid or spurious claims that may arise against the charity.

**UMBRELLA DERBY & DERBYSHIRE
TRUSTEES REPORT
YEAR ENDED 31 MARCH 2022**

STRUCTURE, GOVERNANCE AND MANAGEMENT – Continued

Related parties

Umbrella has no related parties that make a significant impact upon the policies adopted by the organisation.

Pay Policy for Key Management Personnel

The Trustees of Umbrella Derby & Derbyshire set the pay structure of the senior management team via a review undertaken by a Remuneration Panel comprising of three members of the Board.

Independent Examiner


David Smith FCCA, of DKS Chartered Certified Accountants offers himself for reappointment at the AGM.

Small Company Provisions

This report has been prepared in accordance with the special provisions for small companies under part 15 of the Companies Act 2006.

Umbrella House
64 Birdcage Walk
Mackworth
DERBY
DE22 4LD

Signed on behalf of the trustees



John Russell
Treasurer

Approved by the trustees on

10 August 2022

**UMBRELLA DERBY & DERBYSHIRE
INDEPENDENT EXAMINER'S REPORT TO THE TRUSTEES
YEAR ENDED 31 MARCH 2022**

Independent examiner's report to the Trustees of Umbrella Derby & Derbyshire

I report to the charity trustees on my examination of the accounts of the Company for the year ended 31 March 2022, which are set out on pages 9 to 29.

Responsibilities and basis of report

As the charity's trustees of the Company (and also its directors for the purposes of company law) you are responsible for the preparation of the accounts in accordance with the requirements of the Companies Act 2006 ('the 2006 Act').

Having satisfied myself that the accounts of the Company are not required to be audited under Part 16 of the 2006 Act and are eligible for independent examination, I report in respect of my examination of your charity's accounts as carried out under section 145 of the Charities Act 2011 ('the 2011 Act'). In carrying out my examination I have followed the directions given by the Charity Commission under section 145(5) (b) of the 2011 Act.

Independent examiner's statement

Since the Company's gross income exceeded £250,000 your examiner must be a member of a body listed in section 145 of the 2011 Act. I confirm that I am qualified to undertake the examination because I am a member of the Association of Chartered Certified Accountants, which is one of the listed bodies.

I have completed my examination. I confirm that no matters have come to my attention in connection with the examination giving me cause to believe:

1. accounting records were not kept in respect of the Company as required by section 386 of the 2006 Act; or
2. the accounts do not accord with those records; or
3. the accounts do not comply with the accounting requirements of section 396 of the 2006 Act other than any requirement that the accounts give a true and fair view which is not a matter considered as part of an independent examination; or
4. the accounts have not been prepared in accordance with the methods and principles of the statement of Recommended Practice for accounting and reporting by charities applicable to charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102).

I have no concerns and have come across no other matters in connection with the examination to which attention should be drawn in this report in order to enable a proper understanding of the accounts to be reached.


David Smith FCCA

DKS Chartered Certified Accountants

2 Kingsdale Grove

Chellaston

Derby

DE73 5NX

Date: 10/8/2022

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF FINANCIAL ACTIVITIES
(INCORPORATING INCOME & EXPENDITURE ACCOUNT)
YEAR ENDED 31 MARCH 2022

| | Note | Unrestricted Funds £ | Restricted Funds £ | Total Funds 2022 £ | Total Funds 2021 £ |
|--|------|----------------------------|--------------------------|-----------------------------|--------------------------|
| INCOME | | | | | |
| Donations and Legacies | 2 | 29,245 | 15,345 | 44,590 | 37,737 |
| Charitable Activities | 3 | 377,175 | 260,350 | 637,525 | 707,994 |
| Other trading Activities | 4 | 16,021 | 15,769 | 31,790 | 4,956 |
| Investment Income | 5 | 425 | - | 425 | 712 |
| Other income | 6 | 1,839 | 303 | 2,142 | 72,472 |
| TOTAL INCOME | | <u>424,705</u> | <u>291,767</u> | <u>716,472</u> | <u>823,871</u> |
| EXPENDITURE | | | | | |
| Charitable Activities | 7 | 474,297 | 306,715 | 781,012 | 673,924 |
| TOTAL EXPENDITURE | | <u>474,297</u> | <u>306,715</u> | <u>781,012</u> | <u>673,924</u> |
| NET GAINS ON INVESTMENTS | 11 | <u>93</u> | <u>-</u> | <u>93</u> | <u>269</u> |
| NET (OUTGOING)/INCOMING RESOURCES/NET (EXPENDITURE)/INCOME BEFORE TRANSFERS | | <u>(49,499)</u> | <u>(14,948)</u> | <u>(64,447)</u> | <u>150,216</u> |
| Transfers Between Funds | 16 | 277 | (277) | - | - |
| NET MOVEMENT IN FUNDS FOR THE YEAR | | <u>(49,222)</u> | <u>(15,225)</u> | <u>(64,447)</u> | <u>150,216</u> |
| Total fund Balances at 1 April 2021 | | 358,591 | 210,104 | 568,695 | 418,479 |
| TOTAL FUND BALANCES AT 31 MARCH 2022 | | <u>309,369</u> | <u>194,879</u> | <u>504,248</u> | <u>568,695</u> |

The notes on pages 12 to 29 form part of the financial statements

UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2022

| | | 2022 | | 2021 | |
|---|------|-----------------|----------------|-----------------|----------------|
| | Note | £ | £ | £ | £ |
| Fixed Assets | | | | | |
| Tangible Assets | 10 | | 312,714 | | 310,832 |
| Current Assets | | | | | |
| Investments | 11 | 6,963 | | 6,870 | |
| Debtors | 12 | 61,649 | | 60,411 | |
| Cash at Bank and in Hand | | <u>194,084</u> | | <u>265,554</u> | |
| | | 262,696 | | 332,835 | |
| Creditors: amounts falling due within one year | 13 | <u>(71,162)</u> | | <u>(67,416)</u> | |
| Net Current Assets | | | 191,534 | | 265,419 |
| Total assets less current liabilities | | | <u>504,248</u> | | <u>576,251</u> |
| Creditors: Amounts falling due after one year | 14 | | - | | (7,556) |
| Net Assets | | | <u>504,248</u> | | <u>568,695</u> |
| Funds | | | | | |
| Unrestricted | | | | | |
| Designated Funds | 15 | | | | |
| Staff redundancy Provision | | | 88,037 | | 88,963 |
| Legacy Fund | | | 25,161 | | 25,161 |
| Other Charitable Funds | | | | | |
| General Reserves | 15 | | 196,171 | | 244,467 |
| Restricted | 16 | | 194,879 | | 210,104 |
| Total Charity Funds | 17 | | <u>504,248</u> | | <u>568,695</u> |

The notes on pages 12 to 29 form part of the financial statements

**UMBRELLA DERBY & DERBYSHIRE
BALANCE SHEET
AT 31 MARCH 2022**

For the year ended 31 March 2022 the company was entitled to exemption under section 477 of the Companies Act 2006 relating to small companies.

Directors responsibilities:

- (i) The members have not required the company to obtain an audit of its accounts for the year in question in accordance with section 476 of the Companies Act 2006; and
- (ii) The directors acknowledge their responsibilities for complying with the requirements of the Companies Act with respect to the accounting records and the preparation of accounts.

These accounts have been prepared in accordance with the provisions applicable to small companies subject to the small companies regime and in accordance with FRS102 SORP.

These financial statements were approved and authorised for issue by the Trustees on and are signed on their behalf by:

10 Aug 22



James Stafford DL

Chair of Trustees

Registered Number 07904108

UMBRELLA DERBY & DERBYSHIRE
STATEMENT OF CASH FLOWS
AT 31 MARCH 2022

| | Note | 2022 | | 2021 | |
|---|------|----------|----------------|-----------|----------------|
| | | £ | £ | £ | £ |
| Cash (outflows)/inflows from operating activities | | | | | |
| Cash generated from operations | 22 | | (24,113) | | 207,163 |
| Investing activities | | | | | |
| Purchase of tangible fixed assets | | (39,103) | | (182,995) | |
| Interest received | | 425 | | 712 | |
| Net cash provided by investing activities | | | (38,678) | | (182,283) |
| Net (decrease)/increase in cash and cash equivalents | | | (62,791) | | 24,880 |
| Cash and cash equivalents at beginning of year | | | 249,558 | | 224,678 |
| Cash and cash equivalents at end of year | | | 186,767 | | 249,558 |
| Cash at bank and in hand | | | 194,084 | | 265,554 |
| Bank loan | | | (7,317) | | (15,996) |
| Net cash and cash equivalents | | | 186,767 | | 249,558 |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

1. Accounting policies

The principal accounting policies adopted, judgements and key sources of estimation uncertainty in the preparation of the financial statements are as follows:

a. Basis of preparation

Basis of accounting

These accounts have been prepared under the historical cost convention with items recognised at cost or transaction value unless otherwise stated in the relevant note(s) to these accounts.

The accounts have been prepared in accordance with:

- the Statement of Recommended Practice: Accounting and Reporting by Charities preparing their accounts in accordance with the Financial Reporting Standard applicable in the UK and Republic of Ireland (FRS 102) issued on 16 July 2014 (as updated through Bulletin 1 published on 2 February 2016)
- and with the Financial Reporting Standard applicable in the United Kingdom and Republic of Ireland (FRS 102)
- and with the Charities Act 2011.

The charity constitutes a public benefit entity as defined by FRS 102.

The accounts are prepared in sterling, which is the functional currency of the company. Monetary amounts in these financial statements are rounded to the nearest £. These accounts for the year ended 31 March 2017 are the first accounts of Umbrella Derby & Derbyshire prepared in accordance with FRS 102, The Financial Reporting Standard applicable in the UK and Republic of Ireland. The reported financial position and financial performance for the previous period are not effected by the transition to FRS 102.

b. Income recognition policies

Items of income are recognised and included in the accounts when all of the following criteria are met:

- the charity has entitlement to the funds;
- any performance conditions attached to the item(s) of income have been met or are fully within the control of the charity;
- there is sufficient certainty that receipt of the income is considered probable; and
- the amount can be measured reliably.

For legacies, entitlement is taken as the earlier of:

- the date on which the charity is aware that probate has been granted;
- the estate has been finalised and notification has been made by the executor(s) to the Trust that a distribution will be made; or
- when a distribution is received from the estate.

Receipt of a legacy, in whole or in part, is only considered probable when the amount can be measured reliably and the charity has been notified of the executor's intention to make a distribution. Where legacies have been notified to the charity, or the charity is aware of the granting of probate, and the criteria for income recognition have not been met, then the legacy is treated as a contingent asset and disclosed if material.

Income received in advance or provision of other specified services are deferred until the criteria for income recognition are met.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

1. Accounting policies continued

c. Donated services and facilities

Donated services or facilities are recognised as income when the charity has control over the item, any conditions associated with the donated item have been met, the receipt of economic benefit from the use by the charity of the item is probable and that economic benefit can be measured reliably. On receipt, donated services and facilities are recognised on the basis of the value of the gift to the charity which is the amount the charity would have been willing to pay to obtain services or facilities of equivalent benefit on the open market; a corresponding amount is then recognised in expenditure in the period of receipt.

d. Interest receivable

Interest on funds held on deposit is included when receivable and the amount can be measured reliably by the charity; this is normally upon notification of the interest paid or payable by the Bank.

e. Fund accounting

Unrestricted funds are available to spend on activities that further any of the purposes of the charity. Designated funds are unrestricted funds of the charity which the trustees have decided at their discretion to set aside for use for a specific purpose. Restricted funds are donations which the donor has specified are to be solely used for particular areas of the charity's work or for specific projects undertaken by the charity.

f. Expenditure and irrecoverable VAT

Expenditure is recognised once there is a legal or constructive obligation to make a payment to a third party, it is probable that settlement will be required and the amount of the obligation can be measured reliably. Expenditure is classified under the following activity headings:

- Expenditure on charitable activities includes the costs undertaken to further the purposes of the charity and their associated support costs.
- Other expenditure represents those items not falling into any other heading.

Irrecoverable VAT is charged as a cost against the activity for which the expenditure was incurred.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

1. Accounting policies continued

g. Tangible fixed assets

Individual fixed assets costing £1,000 or more are capitalised at cost and are depreciated over their estimated useful economic lives on a straight line basis as follows:-

| Asset Group | Capitalisation | Depreciation (Years) |
|--|-----------------------|-----------------------------|
| Land & Buildings, including fees etc | At Original cost | 25 years |
| Refurbishment, including professional fees | At Original cost | 5 years |
| Equipment for new Building | At Original cost | 3 years |
| IT equipment | At Original cost | 3 years |
| Office Equipment | At Original cost | 5 years |
| Leasehold Improvements | At Original cost | 40 years |

h. Debtors

Trade and other debtors are recognised at the settlement amount due after any trade discount offered. Prepayments are valued at the amount prepaid after taking account of any trade discounts due.

i. Cash at bank and in hand

Cash at bank and cash in hand includes cash and short term highly liquid investments with a short maturity of three months or less from the date of acquisition or opening of the deposit or similar account.

j. Creditors and provisions

Creditors and provisions are recognised where the charity has a present obligation resulting from a past event that will probably result in the transfer of funds to a third party and the amount due to settle the obligation can be measured or estimated reliably. Creditors and provisions are normally recognised at their settlement amount after allowing for any trade discounts due.

k. Financial instruments

The charity only has financial assets and financial liabilities of a kind that qualify as basic financial instruments. Basic financial instruments are initially recognised at transaction value and subsequently measured at their settlement value.

l. Pension Costs

The charity operates a defined contribution pension scheme for employees. The assets are held separately from those of the charity. The annual contributions payable are charged to the statement of financial activities. These costs reflect the number of members in the scheme.

m. Investments

Investments are stated at market value at the balance sheet date. The SOFA includes those unrealised gains and losses arising from the revaluation of the investment portfolio at the balance sheet date.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

| | Unrestricted Funds | Restricted Funds | Total Funds 2022 | Total Funds 2021 |
|------------------------------------|-----------------------|-----------------------|------------------------|-----------------------|
| | £ | £ | £ | £ |
| 2. Donations & Legacies | | | | |
| Donations | 29,245 | 15,345 | 44,590 | 37,737 |
| | <u>29,245</u> | <u>15,345</u> | <u>44,590</u> | <u>37,737</u> |
| Year Ended 31 March 2021 | <u><u>22,190</u></u> | <u><u>15,547</u></u> | <u><u></u></u> | <u><u>37,737</u></u> |
| 3. Charitable Activities | | | | |
| Grants/ Income Receivable from | | | | |
| Derby City Council | 3,734 | 5,000 | 8,734 | 36,821 |
| Derbyshire County Council | 1,724 | 5,965 | 7,689 | 4,001 |
| Other | - | 992 | 992 | 3,789 |
| Lloyds Foundation | - | 34,564 | 34,564 | 48,212 |
| Earned Income | 371,717 | - | 371,717 | 245,987 |
| Big Lottery | - | 105,403 | 105,403 | 167,523 |
| Children in Need | - | 26,698 | 26,698 | 45,787 |
| Global Make Some Noise | - | 16,558 | 16,558 | 33,055 |
| Gardening Disabled | - | 41,376 | 41,376 | 1,500 |
| Wolfson Foundation | - | - | - | 50,000 |
| Garfield Weston | - | - | - | 25,000 |
| Foundation Derbyshire | - | - | - | 25,997 |
| Percy Bilton Charity | - | - | - | 4,896 |
| Bernard Sunley Foundation | - | - | - | 10,000 |
| Sport England | - | - | - | 491 |
| Clothworkers Foundation | - | - | - | 4,935 |
| Edward Gosling Trust | - | 3,200 | 3,200 | - |
| Active Partner Trust | - | 4,614 | 4,614 | - |
| Arts Council | - | 2,017 | 2,017 | - |
| Duke of Devonshire Trust | - | 1,500 | 1,500 | - |
| South Derbyshire CVS | - | 2,463 | 2,463 | - |
| Thomas Farr Charity | - | 2,000 | 2,000 | - |
| Davis Foundation | - | 1,000 | 1,000 | - |
| Hasluk Trust | - | 2,000 | 2,000 | - |
| Derbyshire Freemasons | - | 2,500 | 2,500 | - |
| Lord Barnby's Foundation | - | 1,500 | 1,500 | - |
| Persimmon Foundation | - | 1,000 | 1,000 | - |
| | <u>377,175</u> | <u>260,350</u> | <u>637,525</u> | <u>707,994</u> |
| Year Ended 31 March 2021 | <u><u>261,277</u></u> | <u><u>446,717</u></u> | <u><u></u></u> | <u><u>707,994</u></u> |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

| | Unrestricted Funds | Restricted Funds | Total Funds 2022 | Total Funds 2021 |
|------------------------------------|-----------------------|---------------------|------------------------|---------------------|
| | £ | £ | £ | £ |
| 4. Other Trading Activities | | | | |
| Event / Club Income | 13,365 | 13,939 | 27,304 | 930 |
| Fundraising | 255 | 155 | 410 | 1,280 |
| Other | 2,401 | 1,675 | 4,076 | 2,746 |
| | <u>16,021</u> | <u>15,769</u> | <u>31,790</u> | <u>4,956</u> |
| Year Ended 31 March 2021 | <u>4,057</u> | <u>899</u> | | <u>4,956</u> |
| | Unrestricted Funds | Restricted Funds | Total Funds 2022 | Total Funds 2021 |
| | £ | £ | £ | £ |
| 5. Investment Income | | | | |
| Bank Interest receivable | 232 | - | 232 | 589 |
| Dividend Income | 193 | - | 193 | 123 |
| | <u>425</u> | <u>-</u> | <u>425</u> | <u>712</u> |
| Year Ended 31 March 2021 | <u>712</u> | | | <u>712</u> |
| 6. Other income | | | | |
| Covid Assistance | 1,839 | 303 | 2,142 | 72,472 |
| Year Ended 31 March 2021 | <u>72,472</u> | | <u>72,472</u> | <u>72,472</u> |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

7. EXPENDITURE

| | Children Services | Adult Services | Play & Leisure | IFSS | Annies Place | Vols Core /reserve | Total Restricted | Un restricted | Total | 2020-21 |
|--|-------------------|----------------|----------------|----------------|---------------|--------------------|------------------|-----------------|----------------|----------------|
| Wages and Salaries | 153,258 | 244,929 | 23,027 | 81,062 | - | 115,539 | 173,088 | 444,728 | 617,815 | 552,466 |
| Cost of Sales | 6,679 | 3,494 | 3,836 | 895 | 34 | (850) | 10,536 | 3,552 | 14,088 | 8,421 |
| Travel & accommodation | 4,342 | 8,612 | 449 | 627 | - | 627 | 2,017 | 12,640 | 14,657 | 7,774 |
| Activity cost | 3,261 | 4,962 | 5,191 | 1,615 | 986 | 125 | 9,539 | 6,600 | 16,139 | 6,716 |
| Volunteer charge | 1,672 | 2,438 | 3,750 | 250 | 3,025 | (11,135) | 8,284 | (8,284) | - | - |
| Repair & Maintenance | - | - | - | - | 13,499 | 3,957 | 13,499 | 3,957 | 17,456 | 12,065 |
| Phone & Broadband | - | - | - | - | - | 11,466 | - | 11,466 | 11,466 | 11,214 |
| Utilities | - | - | - | - | 5,917 | 2,777 | 5,917 | 2,777 | 8,694 | 5,199 |
| Insurance | - | - | - | - | 128 | 8,148 | 128 | 8,148 | 8,276 | 7,029 |
| Computer & IT Costs | 86 | - | 133 | - | - | 6,602 | 219 | 6,602 | 6,821 | 8,383 |
| General Admin | 28 | 17 | 100 | 2 | 25 | 728 | 128 | 773 | 901 | 1,983 |
| Photocopier | - | - | - | - | 750 | 6,363 | 750 | 6,363 | 7,113 | 8,104 |
| Fees, Legal & Professional | - | - | 48 | - | 19 | 3,140 | 67 | 3,140 | 3,207 | 4,217 |
| Printing, Postage & Stationery | 58 | - | 2 | - | - | 1,131 | 60 | 1,131 | 1,191 | 300 |
| Training | 205 | - | - | - | - | 3,937 | - | 4,142 | 4,142 | 2,601 |
| Bad debts | 1,723 | 881 | - | - | - | - | - | 2,604 | 2,604 | 1,604 |
| Staff entertainment & Refreshments | 44 | 26 | 33 | 11 | 67 | 131 | 111 | 201 | 312 | 73 |
| Other<£1500 P.A. | 50 | - | - | 30 | 204 | 3,768 | 284 | 3,768 | 4,053 | 3,056 |
| Expensed equipment | 8 | - | - | - | 4,687 | 161 | 4,687 | 169 | 4,856 | 2,452 |
| Total operating cash expenditure | 171,414 | 265,359 | 36,568 | 84,492 | 29,341 | 156,617 | 229,314 | 514,477 | 743,791 | 643,657 |
| Overhead allocation (15% on cost) | 31,879 | 38,915 | 5,314 | 28,438 | 3,239 | (107,785) | 55,195 | (55,195) | - | - |
| Depreciation | 1,283 | - | 391 | 23 | 20,509 | 15,015 | 22,206 | 15,015 | 37,221 | 30,267 |
| TOTAL | 204,576 | 304,274 | 42,273 | 112,953 | 53,089 | 63,847 | 306,715 | 474,297 | 781,012 | 673,924 |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

| | 2021-22 | 2020-21 |
|--|----------------|----------------|
| | £ | £ |
| 8. Aggregate Payroll costs were:- | | |
| Wages & Salaries | 568,544 | 508,161 |
| Social security costs | 29,710 | 27,911 |
| Pension costs | 19,561 | 16,394 |
| | <u>617,815</u> | <u>552,466</u> |

No employees earned more than £60,000 in the year.

None of the trustees received remuneration during the year.

Trustee travel expenses during the year totalled £nil (2021: £nil).

The key management personnel of the charity, comprise the Trustees, the Chief Executive Officer and Finance Officer.

The total employee benefits of the key management personnel of the charity was £59,827 (2021: £50,896).

Particulars of employees

The average head count of staff employed by the charity during the financial year amounted to

| | 2021-22 | 2020-21 |
|--|----------------|----------------|
| Management Staff | 3 | 4 |
| Administrative Staff | 4 | 5 |
| Charitable staff – Contracted/holidays (Activity Support Worker) | 26 | 32 |
| Charitable staff – (Co-ordinators and Family Support) | 7 | 8 |
| | <u>40</u> | <u>49</u> |

Only 6 (2020: 6) of the above are full time

The Average Full time equivalent is as follows:-

| | | |
|--|-----------|-----------|
| Management staff | 2.6 | 3.6 |
| Administrative staff | 1.5 | 2.5 |
| Charitable staff – Contracted/holidays (Activity Support Worker) | 9.9 | 11.9 |
| Charitable staff – (Co-ordinators and Family Support) | 5 | 6 |
| | <u>19</u> | <u>24</u> |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

9. Operating (deficit)/surplus

The Operating (deficit)/surplus is stated after charging :-

| | 2021-22 | 2020-21 |
|--|---------|---------|
| | £ | £ |
| Depreciation | 37,221 | 30,267 |
| Independent examiner's remuneration (incl VAT) | 1,050 | 900 |
| | 38,271 | 31,167 |

10. Tangible Fixed Assets

| | Land & Buildings £ | Building Refurbish- -ment £ | Equipment £ | IT £ | Office Equipment & Furniture £ | Total £ |
|---------------------------|-----------------------|-----------------------------------|-----------------|-----------------|-----------------------------------|------------------|
| Cost | | | | | | |
| At 1 April 2021 | 192,230 | 235,675 | 70,184 | 46,479 | 15,087 | 559,655 |
| Additions | 20,828 | - | 15,759 | 1,868 | 648 | 39,103 |
| Disposals | - | - | - | (1,140) | (1,435) | (2,575) |
| At 31 March 2022 | 213,058 | 235,675 | 85,943 | 47,207 | 14,300 | 596,183 |
| Depreciation | | | | | | |
| At 1 April 2021 | (77,264) | (100,767) | (28,942) | (34,531) | (7,319) | (248,823) |
| Charge for year | (9,888) | (6,874) | (12,155) | (6,102) | (2,202) | (37,221) |
| Eliminated on disposals | - | - | - | 1,140 | 1,435 | 2,575 |
| At 31 March 2022 | (87,152) | (107,641) | (41,097) | (39,493) | (8,086) | (283,469) |
| Net book value | | | | | | |
| At 31 March 2022 | 125,906 | 128,034 | 44,846 | 7,714 | 6,214 | 312,714 |
| % of Original cost | 59% | 54% | 52% | 16% | 43% | 52% |
| At 31 March 2021 | 114,966 | 134,908 | 41,242 | 11,948 | 7,768 | 310,832 |
| % of Original cost | 60% | 57% | 59% | 26% | 51% | 56% |

11. Investments

| | 2021-22 | 2020-21 |
|------------------------------------|---------|---------|
| | £ | £ |
| HSBC Shares | 6,870 | 6,601 |
| Unrealised profit/(loss) on shares | 93 | 269 |
| | 6,963 | 6,870 |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

12. Debtors

| | 2021-22 | 2020-21 |
|-----------------------------------|----------------------|----------------------|
| | £ | £ |
| Trade Debtors | 60,993 | 59,976 |
| Prepayments and Accrued Income | 656 | 435 |
| | <u>61,649</u> | <u>60,411</u> |

13. Creditors: Amounts falling due within one year

| | 2021-22 | 2020-21 |
|------------------------------|----------------------|----------------------|
| | £ | £ |
| Trade Creditors | 6,300 | 2,401 |
| Accruals and deferred income | 57,545 | 56,575 |
| Flexible commercial Mortgage | 7,317 | 8,440 |
| | <u>71,162</u> | <u>67,416</u> |

Deferred income at 31 March 2022 totals £50,854
(2021: £57,367)

14. Creditors: Amounts falling due after one year

| | 2021-22 | 2020-21 |
|------------------------------|-----------------|---------------------|
| | £ | £ |
| Flexible Commercial mortgage | - | 7,556 |
| | <u>-</u> | <u>7,556</u> |

The Barclays Flexible Commercial Mortgage is secured against the Birdcage Walk Property
The aggregate amount of repayments falling due after 5 years is £nil (2021: £nil)

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

15. Unrestricted Funds: Movements in year

| | Balance 1 April 2021 | Incoming Resources | Resources expended | Transfers | Balance 31 March 2022 |
|-------------------------|-------------------------------------|-------------------------------|-------------------------------|------------------|--------------------------------------|
| | £ | £ | £ | £ | £ |
| Designated Funds | | | | | |
| Staff redundancy | | | | | |
| Contingency | 88,963 | - | - | (926) | 88,037 |
| Legacy fund designated | 25,161 | - | - | - | 25,161 |
| General Reserves | 244,467 | 424,798 | (474,297) | 1,203 | 196,171 |
| | 358,591 | 424,798 | (474,297) | 277 | 309,369 |

16. Restricted Funds: Movements in year

| | Balance 1 April 2021 | Incoming Resources | Resources expended | Transfers | Balance 31 March 2022 |
|---|-------------------------------------|-------------------------------|-------------------------------|------------------|--------------------------------------|
| | £ | £ | £ | £ | £ |
| Family Support | 18,372 | 109,113 | (112,953) | - | 14,532 |
| Children in Need | 1,827 | 30,960 | (32,787) | - | - |
| Global Make | | | | | |
| Some Noise | 16,403 | 17,477 | (33,880) | - | - |
| Sport England | - | 4,614 | (1,644) | (2,970) | - |
| Lloyds | - | 34,874 | (31,732) | - | 3,142 |
| Choir – Arts Council | - | 2,493 | (1,712) | - | 781 |
| Disabled Gardening | 1,457 | 41,376 | (15,463) | (23,661) | 3,709 |
| Edward Gosling Charity | - | 3,200 | (1,207) | - | 1,993 |
| Long Eaton Youth Club | - | 2,995 | (3,232) | 237 | - |
| Annie’s Centre Funding | 172,045 | 5,290 | (35,982) | 22,321 | 163,674 |
| Mencap – Walking | - | 1,370 | (287) | - | 1,083 |
| Derbyshire County Council Youth Club – Tues/Thurs/Fri | - | 5,965 | - | - | 5,965 |
| Derby City Council – Summer Promise | - | 24,492 | (28,288) | 3,796 | - |
| Duke of Devonshire | - | 6,048 | (6,048) | - | - |
| | - | 1,500 | (1,500) | - | - |
| | 210,104 | 291,767 | (306,715) | (277) | 194,879 |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

17. Analysis of Net assets between funds

| | Tangible Fixed Assets | Net Assets/ (liabilities) | Total |
|----------------------------|--------------------------------------|--------------------------------------|----------------|
| | £ | £ | £ |
| Restricted Funds | | | |
| Family Support | 2 | 14,530 | 14,532 |
| Arts Council | - | 781 | 781 |
| Lloyds | - | 3,142 | 3,142 |
| Disabled Gardening | - | 3,709 | 3,709 |
| Annie's Centre Funding | 163,674 | - | 163,674 |
| Edward Gosling Charity | - | 1,993 | 1,993 |
| Mencap - Walking | - | 1,083 | 1,083 |
| Derbyshire County Council | - | 5,965 | 5,965 |
| | 163,676 | 31,203 | 194,879 |
| Unrestricted Funds | | | |
| Designated Funds | | | |
| Staff redundancy provision | - | 88,037 | 88,037 |
| Legacy Fund | - | 25,161 | 25,161 |
| General reserves | 149,038 | 47,133 | 196,171 |
| | 149,038 | 160,331 | 309,369 |
| Total Funds | 312,714 | 191,534 | 504,248 |

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

18. RESTRICTED FUNDS

Umbrella Derby & Derbyshire Limited continues to develop and expand its services. Inevitably, the scope, content and cost of some services have evolved and changed since the current funding contracts were originally secured. Whilst we continue to monitor individual contract expenditure against the agreed funding bases, it is important to recognise the current cost of each service, including full recovery of overheads, as a basis for future funding bids. The following notes describe each service in the context of this transition phase. As the funding basis changes the split between restricted and unrestricted funds becomes increasingly arbitrary.

Inevitably the delivery of our services has been significantly disrupted and reshaped to meet the constraints of Covid19.

THE ANNIE HALL MEMORIAL CENTRE (ANNIE'S PLACE)

In 2018 Umbrella received a generous legacy of £94k, which was reserved towards finding additional premises for service delivery. In 2019 we acquired a 40-year peppercorn lease on a council-owned community centre in need of significant refurbishment. We have been successful in attracting funding and donations to enable an impressive makeover of the building, which has been hosting an increasing level of service delivery and will soon be available for some third party letting.

In total we have "Capitalised" over £200k of refurbishment and equipment costs. This expenditure contributed to an apparently high level of income in 2020-21, much of which has increased the value of fixed assets on our balance sheet.

The building was formally opened on 6th December 2021 by the Deputy Lieutenant of Derbyshire

VOLUNTEER & FAMILY SUPPORT TEAM

Family Support

Family Support continues to be a vital service provided by Umbrella, supporting parent/carers of children and young people in Derby and Southern Derbyshire. The Single Point of Access (SPA) located at the Ronnie MacKeith Child Development Centre, Derby Royal hospital, is the gateway to all Umbrellas' services for both parent/carers and professionals. The SPA is not only the access into Umbrella's services (five days a week, 9:30am until 3:30pm), it is the lifeline for parent/carers to receive advice, information and support. The team provide home visits and facilitate six parent/carer support groups with two more planned for 2022. These are invaluable offering emotional, practical assistance and peer to peer support. The Family Support team also provide workshops/information sessions, family fun activities, carers events and Umbrella's highly successful Market Place event. Throughout 20-21 these services were provided on-line, but face to face services resumed in September 2021.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

18. RESTRICTED FUNDS continued

Volunteer service

The Volunteer Service at Umbrella was very limited during this period and still is being affected by issues around the Covid pandemic. But volunteering opportunities for our sadly reduced pool of volunteers grew month on month as we came to the end of the financial year. The same can be said of our trustees as the amount of voluntary work carried out has again grown month on month as we came to the end of the financial year. I am sure that everyone would agree with me when I say thank you to the volunteers and trustees for the valuable support in a very difficult time. Towards the end of this year there was a steady growth in the amount of volunteers we have at our disposal to cover all the activities we offer at Umbrella. This can be seen in the amount of Voluntary hours in 2021/2022 which totalled 3381.5 from a greatly reduced total of 1767 hours delivered in 2020/2021 due to Covid restrictions. Moving into 2022/2023 our aim is to grow our volunteer base which in turn will allow us to cover more activities which means more Children and Young Adults can access our fantastic range of services.

CHILDREN'S SERVICES , Short Breaks, City Support, Spot Purchase

Overall children's services provided 5,320 hours of support for 96 children and young people over the year 2021-22. This year has seen our services back up and running with exciting activities many of which ran in the community.

In August 2021 there was 18 trips for children and young people to attend and close to 650 hours of support given to our children and young people from trips and 1:1 support. What a change from August 2020!!

Short Breaks

Umbrella delivered 4,287 hours of support to 77 children on the Short Breaks Framework over the course of the year. This year continued to be a huge challenge, as services got back to normal. Lots of our children and young people were eager to get back to normality, but anxious after the previous year of lockdowns. A lot of time was spent communicating with families and working in partnership to deliver a safe and appropriate support for every child and young person.

City Spot Purchase

Umbrella was able to deliver 472 hours of support, up by 337 on the previous year, as restrictions have lessened over the year, our children and young people have been able to access more services.

County Spot Purchase

Umbrella delivered 561 hours of support to children living in Derbyshire, almost double from 2020-21 again as children have been able to access more services.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

18. RESTRICTED FUNDS continued

PLAY & LEISURE SERVICE

Circus Starr November 2021 – This year we were allocated 50 tickets to attend the show

Christmas Party 2021 - The Christmas Party was held at a Rolls Royce social club and we had 59 families attend. Santa handed out over 90 presents to the children and young people. This is a traditional Party with Disco, Hot Buffet and Santa visits.

Ben Butler and Dan Richardson Awards – was held at the Family Christmas Party. There were over 20 nominations and we had 3 overall winners for the over 14's who shared the award.

Tuesday Youth Club

A weekly youth club held online and at Umbrella venues and out in the community. Around 23 children attended on a regular basis for children aged 11 to 18 years. The group is young person led deciding on the activities, such as Lego night, Bake Off and quiz nights. Offsite activities include Clip N Climb, bowling, and meals out.

Thursday Youth Club

Sadly no longer running due to lack demand

Friday Youth Club

A fortnightly group held at Umbrella Venues, and out in the community, for young adults aged 16 to 30 years. 12 young people attended the group on a regular basis. The group is young person led and they have taken part in such activities as Bake Off, BBQ and Board games.

Umbrella Raindrops Choir

This is a term weekly group that is held on Monday evenings from 6.00pm-7.00pm in term time. The group meet at the Mackworth community centre. The Choir is open to children and adults with a disability; their siblings and parents and carers there are currently 15 people attending the Choir.

Umbrella Siblings Group 11 to 17 years

The Umbrella Siblings group meet monthly on a Thursday evening at Umbrella venues and out in the community. The group is young person led and suggests each month's activity; these include mad science night; sports and outside games and Offsite Bowling and Crazy golf are very popular. 5 young people attend the group.

Umbrella Siblings Group 8 to 10 years

Sadly no longer running due to lack of demand

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

18. RESTRICTED FUNDS continued

GLOBAL MAKE SOME NOISE

The Global Make Some Noise project was suspended during the first lockdown in 2020, but was extended until October 2021 until the funding was used.

ADULT SERVICES

Lloyds Funding Adult Service

The beginning of April 2021 saw the beginning of slight easing of Covid-19 restrictions nationally, however, within Health and Social Care a number of safety measures remained in place. This continued to have impact on service delivery within Umbrella and what we could access in the community. Restrictions were also still in place with specialist activity providers. Ultimately this was still having impact on outcomes set out within the Lloyds funding. External links formed at the end of the previous financial year, particularly volunteering and specialist provider opportunities, were still on hold, along with being able to access public transport.

Following adaptations made to internal outcome and monitoring tools and completion of service questionnaires, we were able to review our service offer and develop new partnerships and opportunities for when restriction easing allowed. The new service offer was shared with stakeholders including parents/carers, young adults, adult social care, education and health professionals. It also gave way to developing over 25 new opportunities for the young adults to access ranging from support with relationships, adaptive disability sport sessions at Derby University, creative arts workshops, music therapy, pet therapy, woodwork, a theatre residential and community projects to name a few.

In September 2021 young adults were given opportunity to undertake external training with Derby Adult Learning, with some accessing independent life skills courses at the campus and some undertaking a cookery course delivered on site at Annies. There have been challenges with the length of courses available but work is underway to deliver shorter courses on site in late 2022.

The allotment was completed late 2021 and in spring 2022 planting got underway to start growing our own crops. To support engagement with the allotment specialist workshops were organised with Crow Wood CIC to help the young adults and staff develop their skills and learning. All young adults accessing the services have been supported to engage with the new outdoor area which has been positively received. The allotment has shown many benefits in supporting their health and well-being, being active, as well as allowing for volunteering opportunities.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

18. RESTRICTED FUNDS continued

Whilst restrictions remained, limiting external volunteering opportunities, internal opportunities were developed around Annes to support with day to day running of the building, office jobs and also looking after the outside areas. Young adults also volunteered to help prepare and run the Annie Hall Centre open day in October 2021 by designing and delivering flyers, running stalls and serving refreshments on the day. The Dan Richardson award was also presented at the open day where 12 young adults were nominated for their achievements over the past year. Young adults also took part in the 'Big Clean' with a local green spaces group which aims to make local green areas safe clean spaces for all to enjoy. Young adults volunteering hours are monitored and will go towards their volunteering award towards the end of 2022.

During 2021 the Adult Service Development Lead role was reduced and seconded to work 2 days front line on adults service to help improve the quality of services, introduce new activities and provide support to young adults where Umbrella were short staffed. In February 2022 the Service Coordinator left post and the Development Lead was seconded to the Co-ordinator role to help run the project and ensure services continued to be delivered whilst recruitment was taking place.

Adult Service, TEAM & INDE 2021/22

At the beginning of the financial year, services were still restricted to ensure Umbrella kept both staff, families and service users safe during the second wave of the pandemic. This resulted in Umbrella offering reduced services, being limited by onsite numbers as well as not being able to take groups into the community. Staffing issues also impacted significantly on the financial year and shortages of available workers meant Umbrella were not able to meet service delivery contracts or take on any new referrals. However, demand for services has been high and waiting list were put in place for when Umbrella were able to recruit.

The combination of reduced group numbers and a social care staffing crisis resulted in adult services experiencing a lack of growth in numbers and a reduction in hours delivered compared to the pre-pandemic figures of 2019/21. Between 2020/21 a number of services users also left Umbrella which took the young adults we support down to 65. Although there was a significant increase from 2021/22 (when services ceased for a significant time) there was not the anticipated growth to services as set out within the development plan. Lifting restrictions and the introduction of bigger group sizes at the end of 2021 has now given opportunity to grow services and increase hourly delivery, slowly improving figures, which towards the end of the financial year were heading more towards pre-pandemic times.

Despite the constraints the following hours were delivered:

TEAM 11,400 hours generating an income of £213,725

INDE & INDE+ 3735 hours generating an income of £36,000

Total hours 15,135

Total income £249,726

Young Adults supported 65

The start of the current financial year has shown a significant increase in referrals, the recruitment of more support workers allowing the groups and capacity to grow into 2022.

UMBRELLA DERBY & DERBYSHIRE
NOTES TO FINANCIAL STATEMENTS
YEAR ENDED 31 MARCH 2022

19. Related party transactions

The charity has no related party transactions that required disclosure.

20. Company limited by guarantee

The Charity is a company limited by guarantee. Every member promises, if the Charity is Dissolved, while they remain a member or within 12 months afterwards, to pay up to £1 towards the costs of dissolution and the liabilities incurred by the Charity while the contributor was a member.

21. Corporation taxation

The charity is exempt from tax on income and gains falling within section 505 of the Taxes Act 1988 or section 252 of the Taxation of Chargeable Gains Act 1992 to the extent that these are applied to its charitable objects.

22. Cash generated from operations

| | 2022 | 2021 |
|--|-----------------|----------------|
| | £ | £ |
| (Deficit)/surplus for the year | (64,447) | 150,216 |
| Adjustments for: | | |
| Investment income shown in investing activities | (425) | (712) |
| Depreciation and impairment of tangible fixed assets | 37,221 | 30,267 |
| (Gain)/loss on revaluation of investments | (93) | (269) |
| Movements in working capital: | | |
| (Increase)/decrease in debtors | (1,238) | 16,368 |
| Increase in creditors | 4,869 | 11,293 |
| Cash generated from operations | (24,113) | 207,163 |

23. Financial Instruments

The carrying amounts of the charity's financial instruments are as follows:

| | 2022 | 2021 |
|---|-------------|-------------|
| | £ | £ |
| Financial assets | | |
| Measured at fair value through net income/expenditure | | |
| Fixed asset listed investments | 6,963 | 6,870 |
| | 2022 | 2021 |
| | £ | £ |
| Debt instruments measured at amortised cost | | |
| - Trade Debtors | 60,993 | 59,976 |
| | 2022 | 2021 |
| | £ | £ |
| Financial liabilities | | |
| Measured at amortised cost | | |
| - Trade Creditors | 6,300 | 2,401 |

UMBRELLA DERBY & DERBYSHIRE

FINANCIAL HISTORY 2017-2022

(This page does not form a part of the statutory financial statements which are subject to the Independent Examiners report on page 8)

Umbrella Derby & Derbyshire Limited (reg Charity 1150203) has been operating as a legal entity since April 2013. Previously it existed as Umbrella (reg Charity 770088)

| Year Ending 31 March | <u>Umbrella Derby & Derbyshire Ltd</u> | | | | | |
|---|--|----------------|----------------|----------------|----------------|----------------|
| | <u>2022</u> | <u>2021</u> | <u>2020</u> | <u>2019</u> | <u>2018</u> | <u>2017</u> |
| | £ | £ | £ | £ | £ | £ |
| Funds | | | | | | |
| Restricted Funds | 194,879 | 210,104 | 19,937 | 14,549 | 16,609 | 47,760 |
| Designated Funds | 113,198 | 114,124 | 169,334 | 162,602 | 58,220 | 47,268 |
| Unrestricted | 196,171 | 244,467 | 229,208 | 258,938 | 268,619 | 221,904 |
| | 504,248 | 568,695 | 418,479 | 436,089 | 343,448 | 316,932 |
| Months expenditure held in reserve | | | | | | |
| Gross reserves | 4.8 | 7.2 | 6.0 | 6.5 | 5.5 | 5.1 |
| Net Reserves | 3.0 | 4.9 | 3.5 | 4.0 | 4.5 | 4.2 |
| Incoming resources | | | | | | |
| Funding | 265,808 | 462,007 | 344,077 | 320,495 | 327,962 | 226,479 |
| Investment income | 518 | 712 | 1,751 | 1,594 | 494 | 590 |
| Donations | 44,590 | 37,737 | 62,085 | 44,735 | 34,818 | 56,247 |
| Fundraising | 4,486 | 4,956 | 15,413 | 15,724 | 15,262 | 31,430 |
| Earned income | 371,717 | 245,987 | 354,853 | 392,912 | 359,978 | 361,476 |
| Legacy/Job Retention Scheme | 29,446 | 72,741 | - | 94,026 | - | - |
| | 716,565 | 824,140 | 778,179 | 869,486 | 738,514 | 676,222 |
| Resources Expended | | | | | | |
| Staff Costs | 617,815 | 552,466 | 647,345 | 624,163 | 580,147 | 519,779 |
| Other costs | 163,197 | 121,458 | 148,444 | 152,682 | 131,698 | 117,811 |
| | 781,012 | 673,924 | 795,789 | 776,845 | 711,845 | 637,590 |
| Staff costs/Total Costs | 79% | 80% | 81% | 80% | 81% | 82% |
| Average Staff Numbers | 40 | 49 | 75 | 59 | 51 | 49 |
| Full Time Equivalent | 19 | 24 | 29 | 25 | 22 | 23 |
| Funding | | | | | | |
| Derby City Council | 8,734 | 36,821 | 119,476 | 117,417 | 105,001 | 98,061 |
| Derbyshire County Council | 7,689 | 4,001 | 23,401 | 28,841 | 58,419 | 24,991 |
| Big lottery | 105,403 | 167,523 | 101,802 | 105,999 | 100,150 | 38,001 |
| Children in Need | 26,698 | 45,787 | 40,582 | 57,080 | 53,349 | 42,398 |
| Lloyds TSB | 34,564 | 48,212 | 9,429 | 6,250 | 8,750 | - |
| Other | 82,720 | 159,663 | 49,387 | 4,908 | 2,293 | 23,028 |
| | 265,808 | 462,007 | 344,077 | 320,495 | 327,962 | 226,479 |
| Funding/Expenditure | 34% | 77% | 43% | 41% | 46% | 34% |
| Tangible Fixed Assets | | | | | | |
| Opening Balance | 310,832 | 158,104 | 170,845 | 153,965 | 149,247 | 133,937 |
| Additions | 39,103 | 182,995 | 10,293 | 37,685 | 21,600 | 29,942 |
| Depreciation | (37,221) | (30,267) | (23,034) | (20,805) | (16,882) | (14,632) |
| Net Book Value | 312,714 | 310,832 | 158,104 | 170,845 | 153,965 | 149,247 |

Annual accounts for Umbrella (Reg Charity 700884) are no longer available on the Charity Commission website. Digital copies can be obtained from Umbrella Derby & Derbyshire Limited.

**UMBRELLA DERBY & DERBYSHIRE
SECTOR ANALYSIS 2021-2022**

(This page does not form a part of the statutory financial statements which are subject to the
Independent Examiners report on page 8)

| | Children Services | Adult Services | Play & Leisure | Information & family Support | Annies Place | Vols Core /reserve | Total | 2020-21 adjusted |
|--|----------------------|-------------------|-------------------|------------------------------------|-----------------|--------------------------|------------------|---------------------|
| Income | | | | | | | | |
| Donation & Fundraising | 91 | 100 | 200 | 260 | 14,940 | 29,408 | 44,999 | 39,018 |
| Grant Income | 43,256 | 34,564 | 39,236 | 107,894 | 35,400 | 5,457 | 265,807 | 293,006 |
| Membership/Sales/other Services | 529 | 686 | - | 15 | 940 | 3,328 | 5,498 | 75,477 |
| | 120,841 | 269,069 | 8,887 | 945 | - | - | 399,742 | 250,657 |
| TOTAL OPERATING INCOME | 164,717 | 304,419 | 48,323 | 109,114 | 51,280 | 38,193 | 716,046 | 658,158 |
| Interest & Investment income | - | - | - | - | - | 425 | 425 | 712 |
| Cost of Sales | (6,680) | (3,493) | (3,836) | (895) | (34) | 850 | (14,088) | (8,421) |
| TOTAL INCOME LESS COST OF SALES | 158,037 | 300,926 | 44,487 | 108,219 | 51,246 | 39,468 | 702,383 | 650,449 |
| Expenditure | | | | | | | | |
| Wages and Salaries | (153,258) | (244,929) | (23,027) | (81,062) | - | (115,539) | (617,815) | (552,466) |
| Travel & accommodation | (4,342) | (8,612) | (449) | (627) | - | (627) | (14,657) | (7,774) |
| Activity cost | (3,260) | (4,962) | (5,190) | (1,615) | (986) | (125) | (16,138) | (6,716) |
| Volunteer charge | (1,672) | (2,438) | (3,750) | (250) | (3,025) | 11,135 | - | - |
| Repair & Maintenance | - | - | - | - | (13,499) | (3,957) | (17,456) | (12,065) |
| Phone & Broadband | - | - | - | - | - | (11,466) | (11,466) | (11,214) |
| Utilities | - | - | - | - | (5,917) | (2,777) | (8,694) | (5,199) |
| Insurance | - | - | - | - | (128) | (8,148) | (8,276) | (7,029) |
| Computer & IT Costs | (86) | - | (133) | - | - | (6,602) | (6,821) | (8,383) |
| General Admin | (28) | (17) | (100) | (2) | (25) | (729) | (901) | (1,983) |
| Photocopier | - | - | - | - | (750) | (6,363) | (7,113) | (8,104) |
| Fees, Legal & Professional | - | - | (48) | - | (19) | (3,140) | (3,207) | (4,217) |
| Printing, Postage & Stationery | (58) | - | (2) | - | - | (1,131) | (1,191) | (300) |
| Training | (205) | - | - | - | - | (3,937) | (4,142) | (2,601) |
| Bad debts | (1,723) | (881) | - | - | - | - | (2,604) | (1,604) |
| Staff entertainment & Refreshments | (44) | (27) | (33) | (11) | (67) | (131) | (313) | (73) |
| Other<£1500 P.A. | (50) | - | - | (30) | (204) | (3,768) | (4,052) | (3,056) |
| Expensed equipment | (8) | - | - | - | (4,687) | (161) | (4,856) | (2,452) |
| Total operating cash expenditure | (164,734) | (261,866) | (32,732) | (83,597) | (29,307) | (157,466) | (729,702) | (635,236) |
| Cash (Deficit)/surplus | (6,697) | 39,060 | 11,755 | 24,622 | 21,939 | (117,998) | (27,319) | 15,213 |
| Overhead allocation (15% on cost) | (31,879) | (38,915) | (5,314) | (28,438) | (3,239) | 107,785 | - | - |
| Depreciation | (1,283) | - | (391) | (23) | (20,509) | (15,015) | (37,221) | (30,267) |
| Gain on Investment | - | - | - | - | - | 93 | 93 | 269 |
| TOTAL (Deficit)/surplus | (39,859) | 145 | 6,050 | (3,839) | (1,809) | (25,135) | (64,447) | (14,785) |

